



**GOVERNMENT OF NATIONAL CAPITAL TERRITORY OF DELHI**

**REPORT ON  
UNINCORPORATED  
NON-AGRICULTURAL ENTERPRISES  
(EXCLUDING CONSTRUCTION)  
IN DELHI**

**Based  
on**

**NSS 73<sup>rd</sup> Round Survey  
(July 2015 – June 2016)**

**State sample**

**DIRECTORATE OF ECONOMICS & STATISTICS  
3<sup>RD</sup> FLOOR, B-WING, VIKAS BHAWAN-2, CIVIL LINES,  
DELHI – 110054**

Website: <http://des.delhigovt.nic.in>

**December, 2017**



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# PREFACE

The Present Report on “**Unincorporated Non-Agricultural Enterprises (Excluding Construction) in Delhi**” is brought out by this Directorate on the basis of sample survey conducted under the NSS 73<sup>rd</sup> Round (July 2015 – June 2016).

This report presents the estimates of various operational and financial characteristics like number of enterprises, workers, location; ownership; social group of enterprises, their registration status, problems faced, fixed assets, Gross Value Added (GVA) etc. of Unincorporated Non-Agricultural Enterprises (Excluding Construction in Delhi) in 2015-16 based on State sample.

This report was prepared by Sh K. R. Chhibber, Assistant Director and Sh. Javed Ahmed, Statistical Officer of Data Processing and Analysis Unit under the able guidance of Sh. C.K.Dutta, Deputy Director. The extraordinary efforts put in by Statistical Assistants, in the data analysis and report making stages deserves special mention. The fieldwork was conducted by Socio-Economic unit under the guidance of Sh. Mukesh Kumar Sharma, and Sh. P.K.Chaurasia, Statistical Officers. The role played by this unit in collection of data from the field against all odds is appreciated. The data processing was done by the EDP unit under the close guidance of Ms. Nidhi Rajpal, Assistant Programmer.

The technical assistance provided by National Sample Survey Office, Government of India and the co-operation extended by the households is acknowledged.

**Delhi**  
**December, 2017**

**Devinder Singh**  
**Special Secretary and Director**

## **TEAM**

### **REPORT WRITING**

SH. C. K. DUTTA	:	DEPUTY DIRECTOR
SH. K. R. CHHIBBER	:	ASSISTANT DIRECTOR
SH. JAVED AHMED	:	STATISTICAL OFFICER
DR. PRATEEK JAIN	:	STATISTICAL ASSISTANT
SH. NITIN PAL	:	STATISTICAL ASSISTANT
SH. PUNEET KISHOR SRIVASTAVA	:	STATISTICAL ASSISTANT

### **EDP UNIT**

SH. SANJAY KUMAR GAUTAM	:	SYSTEM ANALYST
MRS. MADHU YADAV	:	ASSISTANT PROGRAMMER
MRS. NIDHI RAJPAL	:	ASSISTANT PROGRAMMER
MRS. NEETU WADWA	:	ASSISTANT PROGRAMMER

### **FIELD SURVEY**

SH. P. K. CHAURASIA	:	STATISTICAL OFFICER
SH. R. K. SHARMA	:	STATISTICAL OFFICER

### **DPA UNIT**

SH. ASHUTOSH SINGH	:	STATISTICAL ASSISTANT
SH. SHEETAL KUMAR	:	STATISTICAL ASSISTANT
SH. DINESH KUMAR	:	STATISTICAL ASSISTANT
MRS. SNEHLATA	:	STATISTICAL ASSISTANT

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## EXECUTIVE SUMMARY

1. The total number of unincorporated non-agriculture enterprises in Delhi were estimated as 8,10,722 out of which 2.67% were in rural areas and 97.33% were in urban areas.
2. The proportion of Own Account Enterprises in Delhi was estimated as 53.82% in comparison to 46.18% establishments.
3. Activity wise proportion of unincorporated non-agriculture enterprises in Delhi was about 53% in trading, 32% in other services sector and 15% in manufacturing sector.
4. Out of total unincorporated non-agriculture enterprises estimated in Delhi, 92.1% of the enterprises were of ownership status proprietorship in comparison to 7.9% partnership enterprises. Further, out of total proprietorship enterprises, 7.5% of the enterprises were under female proprietorship.
5. About 34% of the enterprises under ownership status proprietorship/ partnership were belonging to SC/ST/OBC group.
6. Enterprises working without fixed premises in Delhi were about 14%, which was at par with all India level.
7. 42% enterprises in Delhi were registered under any act or with any registering authority in comparison to 31% at All India level.
8. In Delhi about 84% of the enterprises did not report any specific problem faced by them in the operationalization of enterprises. Sinking/fall in demand and shortage of raw material are two prominent problems faced by 8.11% and 2% of enterprises respectively.
9. Highest proportion (48.8%) of total workers was engaged in trading sector in Delhi, followed by service sector (29.7%) and manufacturing sector (21.5%). The respective figures at All India level were 34.81%, 32.79% and 32.39%. Out of about 19.6 lakh total workers employed in Delhi, around 88.6% are male workers.

10. Average number of workers per unincorporated non-agricultural enterprises was 2.42 as compared to 1.75 workers at all India level.
11. Average emoluments per hired workers was Rs. 1,17,706 as compare to Rs. 87,544 at all India level.
12. Annual GVA per worker was Rs. 2,39,163 as compared to Rs. 1,03,744 at all India level. Delhi reported the highest annual GVA per worker in India.
13. Estimated GVA per enterprise was estimated as Rs. 5,78,303 at state level in comparison to Rs. 1,81,908 at all India level. This was reported the highest at all India level.
14. Value of owned fixed assets per enterprises has been reported as Rs. 4.66 lakh in Delhi, as compared to Rs. 2.32 lakh at all India level.

# CHAPTER ONE

## INTRODUCTION

- The Directorate of Economics and Statistics (DES), GNCT of Delhi has been participating in the nationwide integrated large scale sample surveys, being conducted by NSSO, MOSPI, Govt. Of India. During the period 1<sup>st</sup> July 2015 to 30<sup>th</sup> June 2016, DES has also participated in the enterprise survey on economic and operational characteristics of unincorporated non-agricultural enterprises in manufacturing, trade and other service sector (excluding construction).
- Last surveys of enterprises on trade, unorganised manufacturing and service sectors (excluding trade and construction) were conducted during 53<sup>rd</sup> round (January - December 1997), 62<sup>nd</sup> round (July 2005 - June 2006) and 63<sup>rd</sup> round of NSS (July 2006 - June 2007) respectively. Other enterprise surveys carried out in between were 55<sup>th</sup> round (informal sector enterprises, 1999-2000), 56<sup>th</sup> round (unorganised manufacturing, 2000-2001), 57<sup>th</sup> round (unorganised service sector excluding trade, finance and construction, 2001 - 2002) and 67<sup>th</sup> round (Operational and Financial characteristics unincorporated non-agricultural enterprises (excluding construction), 2010-11).

### **Objective of the unincorporated non-agricultural enterprises survey**

- The main objective of the unincorporated non-agricultural enterprise surveys was to get estimates of various economic and operational characteristics of unincorporated non-agricultural enterprises in manufacturing, trade and other service sector (excluding construction) at State level. The survey was designed to estimate value of key characteristics per enterprise like average no. of workers, fixed assets, outstanding loans, total receipts, total operating expenses and gross value added separately for 'Own Account Enterprises (OAEs)' and 'Establishments'. Information on various operational characteristics like ownership, nature of operation, location, status of registration etc., were also collected to have an insight into economic scenario of the unincorporated non-agricultural enterprises in Delhi. These economic and operational indicators are required for planning, policy and decision making at various levels, both within the government and outside. These aspects of the unorganised enterprise sector are captured in detail in the present NSS survey and estimates are generated.
- The enterprises covered in NSS 67<sup>th</sup> round were divided into three broad industry groups, viz. (i) Manufacturing, (ii) Trade and (iii) Other Service sector enterprises. Under the above sectoral coverage, enterprises were categorised into two types, the first type being Own Account Enterprises (OAEs) i.e. those enterprises that do not employ hired workers on a fairly regular basis in the reference year and the second type being



Establishments employing at least one hired worker on a fairly regular basis in the reference year. The eligibility criteria for an enterprise to be covered in the survey was at least 30 days of operation (15 days of operation for seasonal enterprises/SHGs) in the reference year i.e. “last 365 days preceding the date of survey”.

- Additionally, provision for collecting information related to availability of skilled manpower, access to toilet facilities, waste management system in the unincorporated non-agricultural sector were included in the survey to generate estimates related to some major focus of the government such as Skill Development and Swachh Bharat Abhiyan.
- **Survey outline of unincorporated non-agricultural survey**
- Geographical coverage: The survey covers the whole of the geographical boundary of the Delhi state.
- Sub round: The survey period of one year was divided into four sub-rounds of the three months duration each as follows:

Sub-round 1 :	July - September 2015
Sub-round 2 :	October - December 2015
Sub-round 3 :	January - March 2016
Sub-round 4 :	April - June 2016

In each of these four sub-rounds equal number of sample first stage units (FSUs) i.e. villages/ blocks were allotted for survey with a view to ensuring uniform spread of sample FSUs over the entire survey period. Every attempt was made to survey each of the FSUs during the sub-round to which it was allotted.

### **Subject Coverage:**

The coverage of NSS 73rd round (July 2015 - June 2016) was non-agricultural unincorporated enterprises belonging to three sectors viz., Manufacturing, Trade and Other Services. The survey considered the following broad categories of enterprises:

- a) Manufacturing enterprises excluding those registered under Sections 2m(i) and 2m(ii) of the Factories Act, 1948
- b) Manufacturing enterprises registered under Section 85 of Factories Act, 1948
- c) Enterprises engaged in cotton ginning, cleaning and baling (code 01632 of NIC-2008) excluding those registered under Factories Act, 1948
- d) Enterprises manufacturing beedi and cigar excluding those registered under beedi and cigar workers (conditions of employment) Act, 1966
- e) Non captive electric power generation, transmission and distribution by units not registered with the Central Electricity Authority (CEA)

- f) Trading enterprises
- g) Other Services sector enterprises excluding construction

Categories of enterprises under coverage in (a) to (g) above were:

- (a) Proprietary and partnership enterprises [excluding Limited Liability Partnership (LLP) enterprises]
- (b) Trusts, Self-Help Groups (SHGs), Non-Profit Institutions (NPIs), etc.

Following enterprises were excluded from the coverage:

- (a) Enterprises which are incorporated i.e. registered under Companies Act, 1956
  - (b) The electricity units registered with the Central Electricity Authority (CEA)
  - (c) Government and public sector enterprises
  - (d) Cooperatives
- **Outline of sample design:** A stratified multi-stage design had been adopted for the 73<sup>rd</sup> round survey. The First Stage Units (FSUs) were the census villages in the rural sector and EC-2013 Frame blocks in the urban sector. The ultimate stage units (USU) were enterprises in both the sectors. In case of large FSUs, one intermediate stage of sampling was done by the selection of three hamlet-groups (hgs)/ sub-blocks (sbs) from each large rural/ urban FSU.
  - **Sampling frame:** Census 2011 list of villages were used as the sampling frame for rural areas. Auxiliary information such as number of enterprises, number of workers, type of enterprises, activities of enterprises, etc. available from EC-2013 frame were used for stratification, sub-stratification and selection of enterprises. In the urban sector, EC-2013 frame was used for the cities with population more than a million as per census 2011.
  - **Schedules of enquiry:** During this round, the following two schedules of enquiry were canvassed, viz. Schedule 0.0: list of households and non-agricultural enterprises and Schedule 2.34: unincorporated non-agricultural enterprises (excluding construction). The detail concepts and definition of the main schedule (i.e. Schedule 2.34) is discussed in Chapter Two while in Appendix B, the schedule is reproduced.
  - Out of the total number of 420 FSUs (12 villages and 408 urban blocks) allotted for Delhi as state sample, all the 420 FSUs (12 villages and 408 urban blocks) were surveyed for canvassing Schedule 2.34. The total number of enterprises surveyed was 6387 (177 in rural areas and 6210 in urban areas). The sector wise detailed allocation of FSU and corresponding numbers of surveyed FSU is given in Table A1.

- **Table A1: Number of first stage units (villages/blocks) allotted and surveyed in Delhi:**

	<b>Rural</b>	<b>Urban</b>	<b>Total</b>
<b>Alloted</b>	12	408	420
<b>Surveyed</b>	12	408	420

**Contents of the present report:**

- This report contains four Chapters and two Appendices. Following the present introductory chapter (Chapter One), Chapter Two outlines different concepts and definition that were used during the survey. An outline of the sample design and estimation procedure is presented in chapter three. Summary findings on economic characteristics of unincorporated non-agricultural enterprises (excluding construction) are presented in Chapter four. The coverage of the survey in terms of NIC 2008 and notations of different activities covered in the survey are given in Table A2 and A3 respectively.
- The detailed tables elaborating the results at Delhi state level are given in Appendix A while Appendix B give facsimile of the schedule( Sch 2.34) canvassed in the survey.

**Table A2: Coverage of the survey in terms of National Industrial Classification- 2008 codes**

<b>A. National Industrial Classification 2008 codes under coverage of the survey</b>	
<b>Division/ Group</b>	<b>Description</b>
<b>Manufacturing</b>	
10	Manufacture of Food Products
11	Manufacture of Beverages
12	Manufacture of Tobacco Products
13	Manufacture of Textiles
14	Manufacture of Wearing Apparel
15	Manufacture of Leather and Related Products
16	Manufacture of Wood and of Products of Wood and Cork, except Furniture; Manufacture of Articles of Straw and Plaiting Materials
17	Manufacture of Paper and Paper Products
18	Printing and Reproduction of Recorded Media
19	Manufacture of Coke and Refined Petroleum Products
20	Manufacture of Chemicals and Chemical Products

<b>Division/ Group</b>	<b>Description</b>
<b>Manufacturing</b>	
21	Manufacture of Pharmaceuticals, Medicinal Chemical and Botanical Products
22	Manufacture of Rubber And Plastics Products
23	Manufacture of Other Non-Metallic Mineral Products
24	Manufacture of Basic Metals
25	Manufacture of Fabricated Metal Products, except Machinery and Equipment
26	Manufacture of Computer, Electronic and Optical Products
27	Manufacture of Electrical Equipment
28	Manufacture of Machinery and Equipment N.E.C.
29	Manufacture of Motor Vehicles, Trailers and Semi-Trailers
30	Manufacture of Other Transport Equipment
31	Manufacture of Furniture
32	Other Manufacturing
33	Repair And Installation of Machinery and Equipment
01632	Cotton Ginning, Cleaning and Bailing
3510	Electric power generation, transmission and distribution (except 35101, 35102,35104)
<b>Trading</b>	
45	Wholesale and Retail Trade and Repair of Motor Vehicles and Motorcycles
46	Wholesale Trade, except of Motor Vehicles and Motorcycles
47	Retail Trade, except of Motor Vehicles and Motorcycles
<b>Other Services</b>	
37	Sewerage
38	Waste collection, treatment and disposal activities; materials recovery
39	Remediation activities and other waste management services
492	Other Land Transport (excluding 49212, 49213)
50	Water Transport
52	Warehousing and Support Activities for Transportation
53	Postal and Courier Activities
55	Accommodation
56	Food and Beverage Service Activities
58	Publishing Activities
59	Motion Picture, Video and Television Programme Production, Sound Recording and Music Publishing Activities
60	Programming and Broadcasting Activities
61	Telecommunications
62	Computer Programming, Consultancy and Related Activities

Division/ Group	Description
<b>Other Services</b>	
63	Information Service Activities
64193	Special code for Chit funds
643	Trusts, Funds and Other Financial Vehicles (including special code 64309)
649	Other Financial Service Activities Except Insurance And Pension Funding Activities (including special code 64929)
661	Other Financial Activities (excluding 6611)
6621	Risk and Damage Evaluation
663	Fund management activities
68	Real Estate Activities
69	Legal and Accounting Activities
70	Activities of head offices; management consultancy activities
71	Architecture and Engineering Activities: Technical testing and Analysis
72	Scientific Research and Development
73	Advertising and Market Research
74	Other Professional, Scientific And Technical Activities
75	Veterinary Activities
771	Renting and leasing of motor vehicles
772	Renting and leasing of personal and household goods
773	Renting and leasing of other machinery, equipment and tangible goods n.e.c.
78	Employment Activities
79	Travel Agency, Tour Operator And Other Reservation Service Activities
80	Security and Investigation Activities
81	Services To Buildings And Landscape Activities
82	Office Administrative, Office Support and Other Business Support Activities
85	Education
86	Human Health Activities
87	Residential Care Activities
88	Social Work Activities Without Accommodation
90	Creative, Arts and Entertainment Activities
91	Libraries, Archives, Museums and Other Cultural Activities
92	Gambling and Betting Activities ( <u>coverage will be restricted to legal activities only</u> )
93	Sports Activities and Amusement and Recreation Activities
941	Activities of business, employers and professional membership organizations
949	Activities of other membership organizations (excluding 9492 and part of 9491 relating to organization.

<b>Division/ Group</b>	<b>Description</b>
<b>Other Services</b>	
95	Repair of computers and personal and household goods
96	Other personal service activities
<p><b>B. National Industrial Classification 2008 codes outside the coverage of the survey</b></p> <p>1. All codes under sections:  A (Agriculture, forestry and fishing excluding 01632);  B (Mining and quarrying );  D (Electricity, gas, steam and air conditioning supply excluding 35103, 35105, 35106, 35107, 35109);  F (Construction);  O (Public administration and defence; compulsory social security);  T (Activities of households as employers; undifferentiated goods and services producing activities of households for own use);  U (Activities of extraterritorial organizations and bodies).</p> <p>2. Codes: 36, 491, 49212, 49213, 493, 51, 641(excluding special code 64193), 642, 65, 6611, 6622, 6629, 774, 942, 9491(organizations only), 9492</p>	

**Table A3: Notations of different activity categories covered in the survey**

<b>Activity description</b>	<b>Notations used in tables</b>
Cotton ginning, cleaning and bailing	M1
Manufacture of food products	M2
Manufacture of beverages	M3
Manufacture of tobacco products	M4
Manufacture of textiles	M5
Manufacture of wearing apparel	M6
Manufacture of leather and related products	M7
Manufacture of wood and products of wood and cork, except furniture; manufacture of articles of straw and plaiting materials	M8
Manufacture of paper and paper products	M9
Printing and reproduction of recorded media	M10
Manufacture of coke and refined petroleum products	M11
Manufacture of chemicals and chemical products	M12
Manufacture of pharmaceuticals, medicinal chemical and botanical products	M13
Manufacture of rubber and plastic products	M14
Manufacture of other non-metallic mineral products	M15
Manufacture of basic metals	M16

<b>Activity description</b>	<b>Notations used in tables</b>
manufacture of fabricated metal products, except machinery and equipment	M17
Manufacture of computer, electronic and optical products	M18
Manufacture electrical equipment	M19
Manufacture of machinery and equipment n.e.c	M20
Manufacture of motor vehicles, trailers and semi-trailers	M21
Manufacture of other transport equipment	M23
Manufacture of furniture	M24
Other manufacturing	M25
Repair and installation of machinery and equipment	M26
<b>Manufacturing activities</b>	<b>M</b>
Wholesale and retail trade of motor vehicles and motor cycles	T1
Manufacturing and repair of motor vehicles and motor cycles	T2
Activities of commission agents	T3
Other wholesale trade	T4
Other retail trade	T5
<b>Trading activities</b>	<b>T</b>
Accommodation	S1
Food service activities	S2
Land transport	S3
Water transport	S4
Warehousing and storage	S5
Support activities for transportation , postal and courier activities	S6
Information and Communication	S7
Financial service activities except insurance and pension funding	S8
Other financial activities	S9
Real estate activities	S10
Professional, Scientific and Technical activities	S11
Administrative and support service activities	S12
Education	S13
Human Health and Social Work	S14
other community , social and personal service activities	S15
<b>Other services</b>	<b>S</b>
<b>All</b>	<b>M+T+S</b>

## CHAPTER TWO

### Concepts and Definitions

Important concepts and definitions relevant to different schedules of this survey are explained below.

**Population coverage:** The following were the rules regarding the population/households to be covered are to be remembered while visiting households for the purpose of listing of households and enterprises:

1. Under-trial prisoners in jails and indoor patients of hospitals, nursing homes etc., are to be excluded, but residential staff therein will be considered while listing is done in such institutions. The persons of the first category will be considered as normal members of their parent households and will be considered there. Convicted prisoners undergoing sentence will be outside the coverage of the survey.
2. Floating population, i.e., persons without any normal residence are not to be considered. But households residing in open space, roadside shelter, under a bridge, etc., more or less regularly in the same place, will be taken into account.
3. Foreign nationals will not be considered, nor do their domestic servants, if by definition the latter belong to the foreign national's household. If, however, an enterprise is run by a member of such household located within the premises of the household or without any fixed premises, it will be listed as an enterprise.
4. Persons residing in barracks of military and paramilitary forces (like police, BSF, etc.) will be kept outside the survey coverage due to difficulty in conduct of survey therein. However, civilian population residing in their neighborhood including the family quarters of service personnel, are to be covered. Permission for this may have to be obtained from appropriate authorities.
5. Orphanages, rescue homes, *ashrams* and vagrant houses are outside the survey coverage but enterprises run by them and located within the premises of those institutions are to be listed. However, persons staying in old age homes, students staying in *ashrams*/ hostels and the residential staff (other than monks/ nuns) of these ashrams will be treated as forming households (as per the standing practice in NSS) for the purpose of identifying enterprises through such households. For orphanages, although orphans are not to be listed, the persons looking after them and staying there may be considered as forming households.



**House:** Every structure, tent, shelter, etc. is a house irrespective of its use. It may be used for residential or non-residential purpose or both or even may be vacant.

**Household:** A group of persons normally living together and taking food from a common kitchen will constitute a household. It will include temporary stay-aways (those whose total period of absence from the household is expected to be less than 6 months) but exclude temporary visitors and guests (expected total period of stay less than 6 months). Even though the determination of the actual composition of a household will be left to the judgment of the head of the household, the following procedures will be adopted as guidelines.

(i) Each inmate (including residential staff) of a hostel, mess, hotel, boarding and lodging house, etc., will constitute a single-member household. If, however, a group of persons among them normally pool their income for spending, they will together be treated as forming a single household. For example, a family living in a hotel will be treated as a single household.

(ii) In deciding the composition of a household, more emphasis is to be placed on 'normally living together' than on 'ordinarily taking food from a common kitchen'. In case the place of residence of a person is different from the place of boarding, he or she will be treated as a member of the household with whom he or she resides.

(iii) A resident employee, or domestic servant, or a paying guest (but not just a tenant in the household) will be considered as a member of the household with whom he or she resides even though he or she is not a member of the same family.

(iv) When a person sleeps in one place (say, in a shop or in a room in another house because of space shortage) but usually takes food with his or her family, he or she should be treated not as a single member household but as a member of the household in which other members of his or her family stay.

(v) If a member of a family (say, a son or a daughter of the head of the family) stays elsewhere (say, in hostel for studies or for any other reason), he/ she will not be considered as a member of his/ her parent's household. However, he/ she will be considered as a single member household if the hostel is listed.

**Enterprise:** An enterprise is an undertaking which is engaged in the production and/ or distribution of some goods and/ or services meant mainly for the purpose of sale, whether fully or partly. An enterprise may be owned and operated by a single household, or by several households jointly, or by an institutional body.

**Non-agricultural enterprise:** All enterprises covered under Sections 'C' to 'S' of NIC- 2008 are "non-agricultural enterprises". The NIC-2008 booklet was used for recording NIC codes in various schedules. All non-agricultural enterprises will henceforth be referred to as NAE for this survey.

**Unincorporated non-agricultural enterprises:** Non-agricultural enterprises which are not incorporated i.e. registered under Companies Act, 1956 will only be covered. Further, the domain of 'unincorporated enterprises' will exclude (a) enterprises registered under Sections 2m(i) and 2m(ii) of the Factories Act, 1948 or beedi and cigar manufacturing enterprises

registered under beedi and cigar workers (conditions of employment) Act, 1966 or Limited Liability Partnership Act, 2008, (b) government/public sector enterprises and (c) cooperatives. Thus coverage will be restricted primarily to all household proprietary and partnership enterprises. In addition, Self Help groups (SHGs), Private Non-Profit Institutions (NPIs) including Non-Profit Institutions Serving Households (NPISH) and Trusts will be covered.

**Manufacturing Enterprise:** A manufacturing enterprise is a unit engaged in the physical or chemical transformation of materials, substances or components into new products. It covers units working for other concerns on materials supplied by them. Also included are units primarily engaged in maintenance and repair of industrial, commercial and similar machinery & equipment, which are, in general, classified in the same class of manufacturing as those specializing in manufacturing the goods.

Thus all activities covered by NIC – 2008 divisions 10 to 33 and 35 of NIC- 2008 will be considered as 'manufacturing' for the purpose of the survey. In addition, the activity of cotton ginning, cleaning and bailing (NIC - 2008 code 01632) will be covered in the present survey. *It is important to note that production of goods for the sole purpose of domestic consumption will not be considered as manufacturing.*

**Trading Enterprise:** A trading enterprise is an undertaking engaged in trade. Trade is defined to be an act of purchase of goods and their disposal by way of sale without any intermediate physical transformation of the goods. Thus all the trading activities, both wholesale and retail (perennial, casual or seasonal) listed under NIC-08 divisions 45 to 47 will be treated as trade. The activities of intermediaries who do not actually purchase or sell goods but only arrange their purchase and sale and earn remuneration by way of brokerage and commission will also be treated as trade. Thus purchase and sale agents, brokers listed under NIC-08 division and auctioneers listed under NIC group will also be under the survey coverage.

**Servicing Enterprise:** A servicing enterprise or service sector enterprise is engaged in activities carried out for the benefit of a consuming unit and typically consists of changes in the condition of consuming units realized by the activities of servicing unit at the demand of the consuming unit. It is possible for a unit to produce a service for its own consumption provided that the type of activity is such that it could have been carried out by another unit. Some examples of changes that a producer of service brings about in the condition of consumers of service are:

- a) Changes in the condition of consumer's goods: the producer works directly on goods owned by the consumer by transporting, cleaning, repairing or otherwise transforming them;
- b) Changes in the physical condition of persons: the producer transports the persons, provides them with accommodation, provides them with medical or surgical treatments, improves their appearance etc;
- c) Changes in the mental condition of persons: the producer provides education, information, advice, entertainment or similar services;

- d) Changes in the general economic state of the institutional unit itself: the producer provides insurance, financial intermediation, protection, guarantees, etc.

All activities under NIC – 2008 Sections E – U except section G (trade) are considered as *service activities other than trade*. However, F (Construction), O (Public administration and defence; compulsory social security), T (Activities of households as employer; undifferentiated goods and services producing activities of households for own use) and U (Activities of extraterritorial organizations and bodies) are excluded from coverage of this survey. Only unincorporated enterprises in the service sector under coverage were surveyed. Among these also, activities under certain NIC - 2008 codes are out of survey coverage: 36 (water collection, treatment and supply), 491 (transport via railways), 49212 (urban or suburban tramways), 49213 (urban or suburban underground or elevated railways), 493 (transport via pipeline), 51 (air transport), 641 (monetary intermediation), 642 (activities of holding companies), 65 (insurance, reinsurance and pension funding), 6611 (administration of financial markets) , 6622 (activities of insurance agents and brokers), 6629 (other activities auxiliary to insurance and pension funding), 774 (leasing of nonfinancial intangible assets), 942 (activities of trade unions), 9491 (activities of religious organizations [although activities of individuals are covered] ), 9492 (activities of political organizations).

**Financial enterprise:** A financial enterprise is a servicing enterprise that is principally engaged in financial intermediation or in auxiliary financial activities which are closely related to financial intermediation. Financial intermediation is a productive activity in which an institutional unit incurs liabilities on its own account for the purpose of acquiring financial assets by engaging in financial transactions on the market. The role of financial intermediaries is to channelize funds from lenders to borrowers by intermediating between them.

**Household Enterprise:** A household enterprise is one which is run by one or more members of a household or run jointly by two or more households on partnership basis irrespective of whether the enterprise is located in the premises of the household(s) or not. In other words, all proprietary and partnership enterprises are household enterprises.

**Non-household Enterprise:** Non-household enterprises are those which are institutional i.e. owned and run by the public sector (Central or State Government, local self-governments, local bodies, government undertakings, etc.), corporate sector, co-operative societies, other type of societies, institutions, associations, trusts, etc. Non-household enterprises covered under public sector are not included in the current survey.

**Own-account Enterprise:** An enterprise which is run without any hired worker employed on a fairly regular basis is termed as an own account enterprise.

**Establishment:** An enterprise which is employing at least one hired worker on a fairly regular basis is termed as establishment. Paid or unpaid apprentices, paid household member/servant/resident worker in an enterprise are considered as hired workers.

**Non-directory establishment (NDE):** An establishment having one to five workers (household and hired taken together, with at least one hired worker) is termed as a non-directory establishment.

**Directory establishment (DE):** A directory establishment is an establishment, which has six or more workers (household and hired taken together, with at least one hired worker).

**Perennial enterprise:** Enterprises that are run more or less regularly throughout the year are called perennial enterprises.

**Seasonal enterprise:** Seasonal enterprises are those, which are usually run in a particular season or fixed months of a year.

**Casual enterprise:** Enterprises that are run occasionally, for a total of at least 30 days in the last 365 days, are called 'casual enterprises'.

#### **Classification of enterprises based on ownership:**

**(i) Proprietary:** When an individual is the sole owner of an enterprise it is a proprietary enterprise. Own account production of fixed assets for own use, when produced by a single member, will be classified as proprietary enterprise.

**(ii) Partnership:** Partnership is defined as the 'relation between persons who have agreed to share the profits of a business carried on by all or any one of them acting for all'. There may be two or more owners, belonging to the same or different households, on a partnership basis, **with or without formal registration** (where there is a tacit understanding about the distribution of profit among the so-called partners). Own account production of fixed assets, when produced by two or more members belonging to the same or different households will be classified as partnership enterprises. Thus, own account production of fixed assets by a group of households for community use will be classified as partnership enterprise. **Note that partnership enterprises registered under Limited Liability Partnership (LLP) Act, 2008 are excluded from coverage of the survey. Also, partnership enterprises registered under Factories Act, 1948 (other than Section 85) will be outside the survey coverage.**

**(iii) Government/Public Sector Enterprise:** An enterprise, which is wholly owned/run/managed by Central or State governments, quasi-government institutions, local bodies like Panchayat, Zilla Parisad, City Corporation, Municipal authorities, etc., autonomous bodies like Universities, Education boards, and institutions like schools, libraries etc. set up by the government, panchayat, etc., will be treated as public sector enterprise. Enterprises owned/managed by a single or a group of private persons with no participation of the Government, local body etc. in it, both in terms of management and shares, will be treated as private sector enterprises. An enterprise should not be treated as a public sector enterprise if it is run on a loan granted by government, local body, etc.

**(iv) Private Limited Company:** Private company means a company which by its articles:

- (a) restricts the right to transfer its shares, if any,
- (b) limits the number of its members to fifty not including-
  - (i) persons who are in the employment of the company, and
  - (ii) persons who, having been formerly in the employment of the company, were members of the company while in that employment and have continued to be members after the employment ceased; and
- (c) prohibits any initiation to the public to subscribe for any share in, or debentures of, the company.

[Where two or more persons **hold jointly** one or more shares in a company, they shall, for the purpose of this definition, be treated as a **single member**.]

**(v) Public Limited Company:** A public limited company is defined as a company that is not a private company. As such public companies can have an unlimited number of members and can invite the public to subscribe to its shares and debentures. The minimum number of members required to form a public company is **seven**.

**(vi) Co-operative Societies:** Co-operative society is one that is formed through the co-operation of a number of persons, recognized as members of the society, to benefit themselves. In the process, the funds are raised by members' contributions/investments and the profits generated out of the society's activities are shared by the members. A government agency itself can also be a member or shareholder of a registered co-operative society but this fact cannot render the society into a public sector enterprise for the purpose of this survey.

**(vii) Trust:** An arrangement through which one set of people, the trustees, are the legal owners of property which is administered in the interest of another set, the beneficiaries. Trusts may be set up to provide support for individuals or families, to provide pensions, to run charities, to liquidate the property of the bankrupts for the benefit of their creditors, or for the safe keeping of securities bought by trusts with their investor's money. The assets, which trusts hold are regulated by law, must be administered in the interests of the beneficiaries, and not for the profit of the trustees.

**(viii) Non-Profit Institutions (NPI):** Non-profit institutions are legal or social entities created for the purpose of producing goods and services whose status does not permit them to be a source of income, profit or other financial gain for the units that establish, control or finance them. In practice, their productive activities are bound to generate either surpluses or deficits but the units that establish, control or finance them cannot appropriate surpluses. The articles of association by which they are established are drawn up in such a way that the institutional units which control or manage them are not entitled to a share in any profits or other income which the NPI's receive. For this reason, they are frequently exempted from various kinds of taxes.

NPIs are principally market producers but they may engage in non-market production also. It is important to distinguish between NPI's engaged in market and non-market production as this affects the sector of the economy to which an NPI is allocated. Most market NPIs serving

businesses are created by associations of the businesses whose interests they are designed to promote. They consist of chambers of commerce, agricultural, manufacturing or trade associations, employers' organizations, research or testing laboratories or other organizations or institutes which engage in activities which are of mutual interest or benefit to the group of businesses that control and finance them.

**Self-help Groups:** A **self-help group (SHG)** is a financial intermediary usually composed of between 10-20 local persons. Members make small regular savings contributions over a few months until there is enough capital in the group to begin lending. Funds are lent back to the members or at times to others. In India, many SHGs are 'linked' to banks for the hand delivery of microcredit. The characteristic features of self-help groups may be summarized as follows:

- SHG is a small group generally comprising of people who are poor or economically weak, who have voluntarily come forward to form a group for improvement of the social and economic status of the members.
- It can be formal (registered) or informal.
- The concept underlines the principle of Thrift, Credit and Self Help.
- Members of SHG agree to save regularly and contribute to a common fund.
- The members agree to use this common fund and such other funds (like grants and loans from banks), which they may receive as a group, to give small loans to needy members as per the decision of the group.
- The ideal size of an SHG is 10 to 20 members. Also, legally it is required that an informal group should not be of more than 20 people. However, in difficult areas like deserts, hills and areas with scattered and sparse populations and in case of disabled persons, this number may be 5-20.
- The group need not be registered.
- From one family, only one person can become a member of an SHG. (More families can join SHGs this way).
- The group normally consists of either **only men** or **only women**.
- Members should be between the age group of 21-60 years.
- Members should be poor people [the term poor is in relation to the economic and living conditions and this has no relation to poverty line. People living above poverty line (APL) can also form SHG like people living below poverty line (BPL)].

**Investment Club:** Investment clubs are a group of people who pool their money to make investments. Usually, investment clubs are organized as partnerships members usually meet on periodic basis and study different investments, to make investment decisions as a group. The decisions on buying and selling (investment) are made through voting process. Club meetings may be educational and each member may actively participate in investment decisions. The income and losses of the club are passed through to its partners and are reported on their individual tax returns.

**Mixed Activity:** There are enterprises that carry out a number of activities simultaneously. For example, a bakery may also sell cold drinks, a rice mill may sell sugar, a factory may run a hospital in its premises, etc. Each such activity is treated as a separate enterprise if information for them is separately available. If the accounts are not separable then the data pertaining to the enterprise as a whole will be collected and the enterprise is classified as having mixed activities and the activities of such enterprises are a mixture of NIC's. The activities may be mixed at any level of NIC, but for the present survey an enterprise will be considered to have mixed activities if its activities are different at 2-digit level of NIC-2008. The appropriate NIC in such cases will be determined on the basis of major activity. Major activity refers to the activity which yields maximum income/turnover/employment. The above criteria are applied in the given order, i.e. income first, then turnover and then employment, to determine the major activity.

**Multiple Activities:** Since many of the entrepreneurs belonging to the unorganized and informal sector operate at small or marginal levels, the phenomenon of carrying out more than one activity simultaneously or at different points of time is quite prevalent. If the activities are carried out at one point of time at the same location and the information is not separately available, then it will be taken as "mixed activity". Carrying out of more than one economic activity by the entrepreneur during the reference year is called multiple activities. It differs from mixed activities in the sense that multiple activity is with respect to the entrepreneur whereas mixed activity is with respect to the enterprise. However, an entrepreneur of a manufacturing enterprise manufacturing woollen garments before winter and cotton clothes before summer will not be considered as carrying out multiple activities.

**Reference Period:** Last 30 days preceding the date of survey or last month has been used as the reference period to collect most of the data. Various items of receipts and expenses as well as data on employment, emoluments, rent, interest, net surplus and value added for the enterprises will be collected for the above reference period only. However, for seasonal enterprises the reference period will refer the last 30 days (preceding the date of survey), if they have worked continuously for last 30 days or more (including scheduled holidays) in the current season. For seasonal enterprises which have worked for less than 30 days in the current season, last month will refer to an average month in the last working season.

If some enterprise is unable to give information for the last 30 days and is able to give information for the latest calendar month from their books, figures for the latest calendar month may be taken. Month will refer to a period of 30 days. For some of the items like value of fixed assets, amount of loan outstanding etc., the reference period is 'as on the date of survey'. For some other items like net additions to fixed assets, number of months operated, number of other economic activities taken up etc., the period of reference is the 'last 365 days preceding the date of survey'. For enterprises maintaining accounts and giving information from their books of

accounts, reference year, 'last 30 days/ last month' and 'last date of the reference year' would respectively relate to 'last accounting year for which information is available', 'last month of the said accounting year' and 'last date of the accounting year'. If the information for the entire year is available from the book of accounts, the same may be divided by 'the number of months worked' to arrive at the monthly figures.

**Subsidy:** Subsidies are current unrequited payments that government units, including non-resident government units, make to enterprises on the basis of the levels of their production activities or the quantities or values of the goods and services which they produce, sell, import or export. Subsidies can also be received by an enterprise for achieving a particular type of efficiency in production (e.g., getting ISO certification, implementing pollution control measures, repaying interests in time, etc.). Subsidies are equivalent to negative taxes on production in so far as the impact on the operating surplus is in the opposite direction to that of taxes on production. Subsidies can be on production or on interest. Various types of subsidies are back-end subsidies on interest, export subsidies, duty drawback, etc. Subsidies do not include grants that governments may make to enterprises in order to finance their capital formation, or compensate them for damage to their capital assets, such grant being treated as capital transfers.

**Taxes on Products:** Taxes are compulsory, unrequited payments, in cash or in kind, made by institutional units to government units. A tax on a product is a tax that is payable per unit of some goods or service. Tax on product may be a specific amount of money per unit of quantity of a good or service, the quantity being measured either in terms of discrete units or continuous physical variables such as volume, weight, strength, distance, time, etc. or it may be calculated ad valorem as a specified percentage of the price per unit or value of the goods and services transacted. Some examples of taxes on products are VAT, excise duties, general sales tax, service tax, export duties, turnover tax, octroi, taxes on financial and capital transactions (mainly inheritances and gifts), etc.

**Taxes on Production:** Taxes on production consist of all taxes that the enterprise incurs as a result of engaging in production. It is payable irrespective of the profitability of the enterprise and consists of all taxes except those included under 'Taxes on Products'. Some examples of taxes on production are recurrent taxes on land, buildings or other structures, business or professional licence fees, charges for permits, road tax and registration fee of vehicles, taxes on pollution, taxes on international transactions such as travel abroad, foreign remittances, etc. Stamp taxes on sale of specified products, which relate to per unit of product sold (e.g., per unit of alcoholic beverages) will be considered as taxes on products, but other stamp taxes (e.g., stamps on legal documents or cheques) will be considered as taxes on production.

**Worker:** For this survey, worker is defined as persons working within the premises of the enterprise who are in the payroll of the enterprise as well as the working owners and unpaid family members who help in entrepreneurial activity. The apprentices took by the enterprise also will be treated as worker. The worker may serve the enterprise in any capacity - primary or supervisory. He/she may or may not receive wage/salary in return to his/her work incidental to or connected with the entrepreneurial activity. Sales persons appointed by an enterprise for selling its services and apprentices, paid or unpaid, will also be treated as workers.



**Working owner:** In the case of proprietary enterprises, if the owner himself/ herself works in the enterprise on a fairly regular basis, then he/she will be treated as working owner. In fact, in most of the own-account enterprises the owner himself/ herself manages all activities of the enterprise without help of anybody else (on fairly regular basis). In the case of partnership enterprises, if only one partner or some of the partners or all the partners work in the enterprise on a fairly regular basis then information for them shall be recorded.

**Formal hired worker:** A formal hired worker is one having continuity of job, eligible for paid annual leave and also eligible for social security benefits like provident fund or insurance provided by the employer.

**Informal hired worker:** An informal hired worker is not having continuity of job and/or not eligible for paid annual leave and/or not eligible for social security benefits like provident fund or insurance provided by the employer.

**Helper/ apprentice:** An apprentice is a learner or beginner who works with a skilled or qualified person in order to learn the practical aspects of a trade or a profession for a fixed time period.

**Other worker:** This includes all persons belonging to the household of the proprietor or households of the partners who are working in or for the enterprise without regular salary or wages. Persons working as exchange labourer in the enterprise without salary or wages will also be covered in this category. All unpaid household workers who are associated with the activities of the enterprise during the reference month will be considered for recording entries against this item.

**Working on Contract:** The enterprises in the unorganised sector are mainly small units. In many cases, the enterprises are seen to be working as per orders from a bigger unit. In such cases, certain conditions are put on the servicing unit by the contractor or master unit. Conditions may pertain to sale of products, supply of raw materials, mode of payment etc. In many cases, the contractor specifies the design of the product to the servicing unit e.g. garment manufacturing, shoe manufacturing etc. If the surveyed enterprise had undertaken any work on contract during the reference year, it was considered as 'enterprises working on contract'.

## CHAPTER THREE

### SAMPLE DESIGN AND ESTIMATION PROCEDURE

The field work of 73<sup>rd</sup> round was carried out during 1<sup>st</sup> July, 2015 to 30<sup>th</sup> June, 2016. The entire survey period was divided into four sub-rounds of three-month duration each and equal number of sample villages and blocks were allocated to each sub-round. Each FSU was surveyed during the sub-round period to which it was allocated. Within a particular sub-round, the workload was uniformly spread-out over different months to the extent possible.

#### SAMPLE DESIGN

A stratified multi-stage sampling design was adopted in this round. Census 2011 list of villages have been used as the sampling frame for rural areas. Auxiliary information such as number of enterprises, number of workers, type of enterprises, activities of enterprises, etc. available from Sixth Economic Census were used for stratification, sub-stratification and selection of enterprises. In the urban sector, Sixth Economic Census frame was used for the cities (like Delhi) with population more than 1.5 million as per census 2011.

#### STRATIFICATION

Two basic strata were rural sector & urban sector in case of Delhi. However, in case of urban, each city with population of 1.5 million more as per Census 2011 forms a separate basic stratum and the remaining urban areas of the district were considered as another basic stratum..

##### *Sub-stratification:*

(i) Rural: There were three sub-strata in the rural sector, viz.:

- (1) Villages with at least 3 establishments (NDE/DE) under coverage in the manufacturing sector as per Sixth EC information;
- (2) Remaining villages having at least 8 establishments (NDE/DE) under coverage in the manufacturing and services sector as per Sixth EC-2005 information;
- (3) Remaining villages of the stratum.

(ii) Urban (1.5 Million plus cities) :

Sub-stratum 1: For each stratum / million plus city, 14 sub-strata were formed as follows:

Sub-stratum 2: EBs with one or more establishment in warehousing and storage

Out of the remaining, EBs with one or more establishment in accommodation, event catering and other food service activities

Sub-stratum 3: Out of the remaining, EBs with one or more establishment in real estate, legal, accounting, management consultancy, professional, scientific and technical etc.

Sub-stratum 4: Out of the remaining, EBs with one or more establishment in manufacture of non-metallic products, basic metals, recycling, fabricated metal products etc.

Sub-stratum 5: Out of the remaining, EBs with one or more establishment in manufacture of food products and beverages

Sub-stratum 6: Out of the remaining, EBs with one or more establishment in manufacture of textiles, wearing apparel, leather and related products

Sub-stratum 7: Out of the remaining, EBs with one or more establishment in manufacture of wood and wood products, furniture, paper and paper products, printing, publishing of books, periodicals etc.

Sub-stratum 8: Out of the remaining, EBs with one or more establishment in sale of motor vehicles and wholesale trade, except of motor vehicles and motorcycles

Sub-stratum 9: Out of the remaining, EBs with one or more establishment in transport, supporting and auxiliary transport activities, travel agency, tour operators, financial service and insurance activities, postal, courier, software publishing, information service and communication etc.

Sub-stratum 10: Out of the remaining, EBs with one or more establishment in veterinary, human health, residential care, social work activities and membership organizations

Sub-stratum 11: Out of the remaining, EBs with one or more establishment in education

Sub-stratum 12: Out of the remaining, EBs with one or more establishment in remaining manufacturing activities

Sub-stratum 13: Out of the remaining, EBs with one or more establishment in remaining other services activities

Sub-stratum 14: All the remaining EBs of the stratum in Delhi

Sub-stratum 15,16 & 17: Three Economic census EBs having very large number of enterprises & workers form three special sub-stratum 15,16 & 17 which were covered in each sub-sample of each-semi round.

Note that if the number of FSUs in the frame of a rural or urban sub-stratum was found to be less than 12, then separate sub-stratum would not be formed and it would be merged with the adjacent sub-stratum.

***Formation of segment 9 and selection of hamlet-groups/ sub-blocks:***

**Formation of Segment 9:** Having determined the boundaries of the sample FSU, all non-agricultural enterprises having 20 or more workers in the entire FSU and having operated at least one day during last 365 days preceding the day of survey (hereinafter to be called as 'big enterprises') were listed and all the eligible units under coverage were surveyed. All the listed big units (whether under coverage or not) constituted segment 9. All eligible enterprises under coverage were surveyed in segment 9.

**Criterion for hamlet-group/ sub-block formation:** After forming the segment 9 as stated above, it was to be determined whether listing had to be done in the whole sample FSU or not. For this, approximate present population (P) and approximate total number of non-agricultural enterprises (E) for the whole FSU was ascertained first from knowledgeable persons. Depending upon the values of ‘P’ and ‘E’, it was divided into a suitable number (say, D) of ‘hamlet-groups’ in the rural sector and ‘sub-blocks’ in the urban sector as stated below. Final value of ‘D’ was the higher of the two values ‘P’ and ‘E’ based on the dual criteria. While considering enterprise criteria, segment 9 enterprises, if any, were excluded from the count of ‘E’, if possible.

<b>Population/Enterprise dual criteria</b>			
<b>Population (P)</b>	<b>No. of hgs/ sbs to be formed</b>	<b>No. of Non-Agricultural Enterprises (E)</b>	<b>No. of hgs/ sbs to be formed</b>
less than 1200	1	less than 120	1
1200 - 1599	4	120 - 159	4
1600 - 1999	5	160 - 199	5
2000 - 2399	6	200 - 239	6
and so on	...	and so on	...

**Formation and selection of hamlet-groups/ sub-blocks:** In case hamlet-groups/ sub-blocks were formed in the sample FSU, the same was done either by more or less equalizing population or by equalising number of non-agricultural enterprises. If the criterion for deciding the value of ‘D’ was population, then hgs/sbs were formed by equalising population. On the other hand if enterprise criterion had been used for deciding the value of ‘D’, then equalise the number of non-agricultural enterprises to form ‘D’ number of hg/sb. the value of ‘D’ was same for both population and enterprise criteria, then hg/sb may be formed by equalising population.

**Segments 1 & 2:** Two segments (in addition to segment 9 already formed) were selected from a large FSU wherever hamlet-groups/sub-blocks were formed in the following manner – Segment 1 was the hg/sb having maximum number of establishments under the coverage. Two more hg’s/sb’s were chosen by SRSWOR and combined to form Segment 2. Listing and selection of the enterprises were done independently in the two selected segments. The FSUs without hg/ sb formation was treated as sample segment number 1.

***Formation of Second Stage Strata and allocation of enterprises for schedule 2.34:***

Sixteen (16) second-stage strata (SSS) were formed within each sample FSU. Composition of various SSS is as under:

- (i) 3 SSS considering various broad manufacturing groups were formed in each segment for Manufacturing sector Establishments: **SSS 1** – Food products and beverages, **SSS 2** – Cotton ginning, cleaning and bailing, textiles, wearing apparel, leather and leather products, wood and wood products, furniture, paper and paper products, printing, etc., **SSS 3** – Grain mill products, prepared animal feeds, tobacco, petroleum, chemical and chemical products, pharmaceuticals, rubber, plastic, motor vehicle, transport equipments, electric power generation and other manufacturing activities.
  
- (ii) 3 SSS were formed in each segment for Trade sector Establishments: **SSS 4** – Wholesale and retail trade and repair of motor vehicles and motorcycles, **SSS 5** – Other wholesale trade, **SSS 6** – Other retail trade.
  
- (iii) 7 SSS were formed in each segment for Service sector Establishments (other than trade) corresponding to the following broad activities: **SSS 7** – Accommodation, Event catering and other food service activities, **SSS 8** – Transport, supporting and auxiliary transport activities, travel agency, tour operators etc., **SSS 9** – Financial service and insurance activities etc., **SSS 10** – Postal, courier, software publishing, information service and communication, **SSS 11** – Education, **SSS 12** – Veterinary, human health, residential care, social work activities and membership organizations, and **SSS 13** – Other services activities.
  
- (iv) 3 SSS were formed in each segment for own account enterprises (OAEs) as follows: **SSS 14** – OAEs in Manufacturing, **SSS 15** – OAEs in Trade, and **SSS 16** – OAEs in Other services.

NIC 2008 codes grouped under each SSS are listed in the following table:

SSS number	NIC 2008 codes	Description of major activities
<b>Establishments</b>		
<b>Manufacturing</b>		
1	101, 102, 103, 104, 105, 107, 11	Food products and beverages
2	01632, 13, 14, 15, 16, 17, 18, 31	Cotton ginning, cleaning and bailing, textiles, wearing apparel, leather and leather products, wood and wood products, furniture, paper and paper products, printing, etc.
3	106, 108, 12, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 32, 33, 35103, 35105, 35106, 35107, 35109	Grain mill products, prepared animal feeds, tobacco, petroleum, chemical and chemical products, pharmaceuticals, rubber, plastic, motor vehicle, transport equipments, electric power generation and other manufacturing activities
<b>Trade</b>		
4	45	Wholesale and retail trade and repair of motor vehicles and motorcycles
5	46	Other wholesale trade
6	47	Other retail trade
<b>Other services</b>		
7	55, 562	Accommodation, event catering and other food service activities
8	49211, 49219, 4922, 4923, 50, 52, 79	Transport, supporting and auxiliary transport activities, travel agency, tour operators etc.
9	64193 (chit funds), 643, 64309 (SHG), 6491, 6492, 64921 (investment club), 64929 (activity of private moneylenders), 6499, 6612, 6619, 6621, 663	Financial service activities, except insurance and pension funding and other financial activities
10	53, 58 - 62, 631, 639, 681, 69, 70, 71, 72, 73, 74, 771, 772, 773, 78, 80, 81, 82, 9511	Postal, courier, software publishing, information service and communication
11	85	Education
12	75, 86, 87, 88, 941, 9491 (organizations), 9499	Veterinary, human health, residential care, social work activities and membership organizations
13	37, 38, 39, 561, 563, 682, 90, 91, 92, 93, 9512, 952, 96	Other services activities
<b>OAEs</b>		
14	01632, 10-33, 35103, 35105, 35106, 35107, 35109	Manufacturing
15	45, 46, 47	Trade
16	37 - 39, 49211, 49219, 4922, 4923, 50, 52 - 63, 64193, 643, 64309, 6491, 64920, 64921, 64929, 6499, 6612, 6619, 6621, 663, 68, 69, 70 - 75, 771, 772, 773, 78 - 82, 85 - 93, 941, 949, 95, 96	Other services

***Selection of enterprises:***

The number of enterprises selected for survey (excluding segment 9) from each FSU × segment × SSS is given below:

enterprise type	sector	SSS number	number of enterprises to be surveyed	
			without hg/sb formation	with hg/sb formation (for each segment)
establishments	manufacturing	1	2	1
		2	2	1
		3	2	1
		<b>sub-total</b>	<b>6</b>	<b>3</b>
	trade	4	2	1
		5	2	1
		6	2	1
		<b>sub-total</b>	<b>6</b>	<b>3</b>
	services	7	2	1
		8	2	1
		9	2	1
		10	2	1
		11	2	1
12		2	1	
13		2	1	
	<b>sub-total</b>	<b>14</b>	<b>7</b>	
OAEs	manufacturing trade	14	2	1
		15	2	1
	services	16	2	1
		<b>sub-total</b>	<b>6</b>	<b>3</b>

It may be noted that from each segment × SSS, at least one enterprise was surveyed if there were some enterprises in the corresponding frame. In addition to the above, all the eligible enterprises of segment 9 were surveyed.

***Selection of Enterprises:*** Sample enterprises from each SSS were selected by SRSWOR. However, all the establishments in the frame were selected for a broad category of establishments (manufacturing/trade/other services) in the following situations:

- (i) All the manufacturing establishments if total number of establishments in manufacturing SSSs is less than or equal to 6 considering both the segments 1 & 2
- (ii) All the trading establishments if total number of establishments in trading SSSs is less than or equal to 6 considering both the segments 1 & 2

- (iii) All the 'other service sector' establishments if total number of establishments in other services SSSs is less than or equal to 14 considering both the segments 1 & 2.

### **Estimation Procedure**

#### ***Notations:***

s = subscript for s-th stratum

t = subscript for t-th sub-stratum

m = subscript for sub-sample (m = 1, 2)

i = subscript for i-th FSU [village (panchayat ward)/ block/ non-UFS town]

d = subscript for a segment (d = 1, 2, 9)

j = subscript for j-th second stage stratum in an FSU/ segment [ j = 1, 2, 3, ....., 19]

k = subscript for k-th sample enterprise under a particular second stage stratum within an FSU/ segment

D = total number of hg's/ sb's formed in the sample FSU

$D^* = 0$  if  $D = 1$

$= (D - 1)/2$  for FSUs with  $D > 1$

N = total number of FSUs in any urban sub-stratum

Z = total size of a rural sub-stratum (= sum of sizes for all the FSUs of a sub-stratum) z = size of sample village used for selection.

n = number of sample FSUs surveyed including 'zero cases' but excluding casualty for a particular sub-sample and sub-stratum.

E = total number of enterprises listed in a second-stage stratum of an FSU / segment of sample FSU

e = number of enterprises surveyed in a second-stage stratum of an FSU / segment of sample FSU

$x, y$  = observed value of characteristics x, y under estimation

$X, Y$  = estimate of population total X, Y for the characteristics x, y

Under the above symbols,

$y_{stmidjk}$  = observed value of the characteristic y for the k-th enterprise in the j-th second stage stratum of the d-th segment (d = 1, 2, 9) of the i-th FSU belonging to the m-th sub-sample for the t-th sub-stratum of s-th stratum.

However, for ease of understanding, a few symbols have been suppressed in following paragraphs where they are obvious

#### ***Schedule 0.0:***

#### **Rural:**

- (i) For estimating the number of enterprises possessing a characteristic:



$$\hat{Y} = \frac{Z}{n} \sum_{i=1}^n \frac{1}{Z_i} [y_{i9} + y_{i1} + D_i^* \times y_{i2}]$$

Where  $y_{i9}$ ,  $y_{i1}$ ,  $y_{i2}$  are the total number of enterprises possessing the characteristic  $y$  in segment 9, 1 & 2 of the  $i$ -th FSU respectively.

**Urban:**

(a) Estimation formula for a sub-stratum where EC based frame was used:

(i) For estimating the number of enterprises possessing a characteristic:

$$\hat{Y} = \frac{Z}{n} \sum_{i=1}^n \frac{1}{Z_i} [y_{i9} + y_{i1} + D_i^* \times y_{i2}]$$

Where  $y_{i9}$ ,  $y_{i1}$ ,  $y_{i2}$  are the total number of enterprises possessing the characteristic  $y$  in segment 9, 1 & 2 of the  $i$ -th FSU respectively.

**Schedules 2.34:**

**Rural:**

Estimation formula for a sub-stratum:

(i) For enterprises selected in  $j$ -th second stage stratum:

$$\hat{Y}_j = \frac{Z}{n_j} \sum_{i=1}^{n_j} \frac{1}{Z_i} \left[ \sum_{k=1}^{e_{i9j}} y_{i9jk} + \frac{E_{i1j}}{e_{i1j}} \sum_{k=1}^{e_{i1j}} y_{i1jk} + D_i^* \times \frac{E_{i2j}}{e_{i2j}} \sum_{k=1}^{e_{i2j}} y_{i2jk} \right]$$

(ii) For all selected enterprises:

$$\hat{Y} = \sum_j \hat{Y}_j$$

**Urban:**

(a) Estimation formula for a sub-stratum where EC based frame was used:

(i) For enterprises selected in  $j^{\text{th}}$  second stage stratum:

$$\hat{Y}_j = \frac{Z}{n_j} \sum_{i=1}^{n_j} \frac{1}{Z_i} \left[ \sum_{k=1}^{e_{i9j}} y_{i9jk} + \frac{E_{i1j}}{e_{i1j}} \sum_{k=1}^{e_{i1j}} y_{i1jk} + D_i^* \times \frac{E_{i2j}}{e_{i2j}} \sum_{k=1}^{e_{i2j}} y_{i2jk} \right]$$

(ii) For all selected enterprises:

$$\hat{Y} = \sum_j \hat{Y}_j$$

(b) Estimation formula for a sub-stratum of other strata:

(i) For enterprises selected in  $j^{\text{th}}$  second stage stratum:

$$\hat{Y}_j = \frac{N}{n_j} \sum_{i=1}^{n_j} \left[ \sum_{k=1}^{e_{i9j}} y_{i9jk} + \frac{E_{i1j}}{e_{i1j}} \sum_{k=1}^{e_{i1j}} y_{i1jk} + D_i^* \times \frac{E_{i2j}}{e_{i2j}} \sum_{k=1}^{e_{i2j}} y_{i2jk} \right]$$

(ii) For all selected enterprises:

$$\hat{Y} = \sum_j \hat{Y}_j$$

***Overall Estimate for Aggregates:***

Overall estimate for aggregates for a stratum ( $\hat{Y}_s$ ) based on two sub-samples is obtained as:

$$\hat{Y}_s = \frac{1}{2} \sum_{m=1}^2 \hat{Y}_{sm}$$

***Overall Estimate of Aggregates at State/UT/all-India level:***

The overall estimate  $Y$  at the State/ UT/ all-India level for a particular semi-round was obtained by summing the stratum estimates  $\hat{Y}_s$  over all strata belonging to the State/ UT/ all-India of that semi round.

## **CHAPTER 4**

### **SUMMARY FINDING**

This chapter is devoted to the analysis of the main features of the enterprises in the Unincorporated Non-Agricultural sector (excluding construction) in Delhi. These results are based on 7604 number of sample enterprises surveyed during 2015-16. Main focus of analysis is on the number of enterprises, employment, value added and other related aspects. This will help to ascertain broadly the growth trends in the past as well as the share of Unincorporated Non-Agricultural sector in the whole of economic activities.

#### **ESTIMATED NUMBER OF ENTERPRISES**

Total number of enterprises in GNCT of Delhi falling within the sphere of the Unincorporated Non-Agricultural sector covered under the 73<sup>rd</sup> NSS round were estimated as 8,10,722. Out of them 21,628 (2.67%) were in rural areas while 7,89,094 (97.33%) were operating in urban areas. Survey was conducted for Own Account Enterprises and Establishments (having at least one hired worker) for both the rural and urban sector as presented in Statement 1.

Further, distribution of these enterprises brought to light that out of total 8,10,722 estimated own account Non-Agricultural enterprises in Delhi 4,36,333 (53.82%) were own account enterprises (OAE) operating without hired worker and 3,74,389 (46.18%) were establishments. Distribution of enterprises by sector and type is shown in the fig 1.2.

Out of the total enterprises falling in unincorporated non agricultural sector in Delhi nearly, 53% were engaged in trading activity, 32% in Other Services and the rest 15% in manufacturing activity.

Among the unincorporated own account enterprises estimated in Delhi, about 50% were engaged in Trading, 39% in Service sector and 11% in manufacturing sector. Following the same trend, out of the total estimated unincorporated establishment, 56% of enterprises were engaged in Trading, 25% in Service sector and 19% were engaged in manufacturing sector.

Breakup of these enterprises major activity group-wise (as per tabulation category of NIC 2008) is presented in the Table- 1 in annexure A.

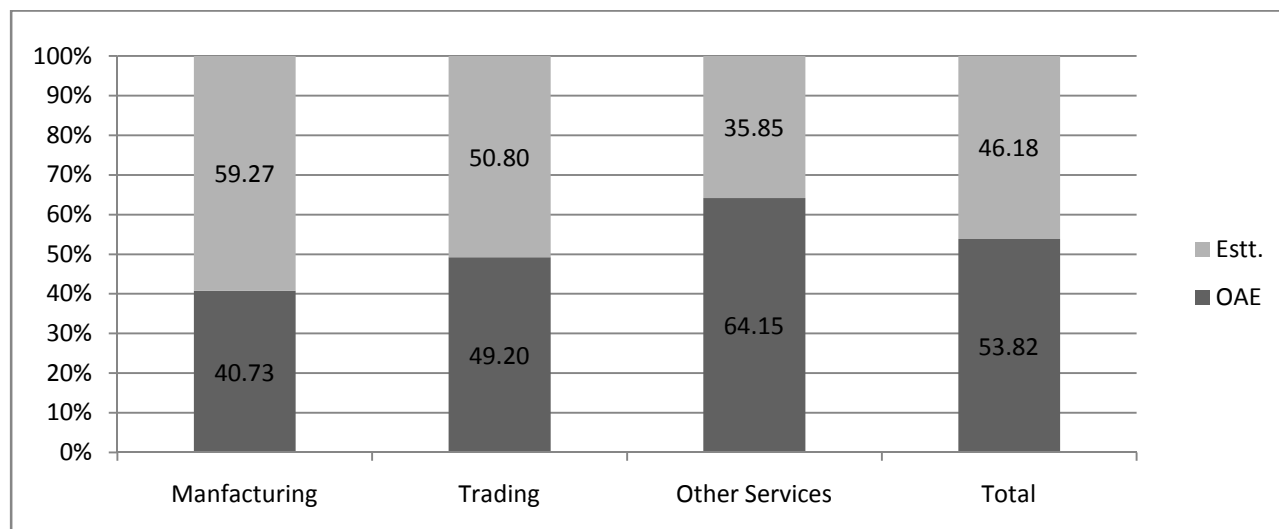
**Statement 1: Estimated number of enterprises by broad category**

Activity Description	Rural			Urban			Delhi		
	OAE	Estt.	All	OAE	Estt.	All	OAE	Estt.	All
<b>Manufacturing</b>	2950	160	3110	45154	69854	115008	48104	70014	118118
<b>Trading</b>	5553	2314	7867	214565	208132	422697	220118	210446	430564
<b>Other Services</b>	7599	3052	10651	160512	90877	251389	168111	93929	262040
<b>Total</b>	<b>16102</b>	<b>5526</b>	<b>21628</b>	<b>420231</b>	<b>368863</b>	<b>789094</b>	<b>436333</b>	<b>374389</b>	<b>810722</b>

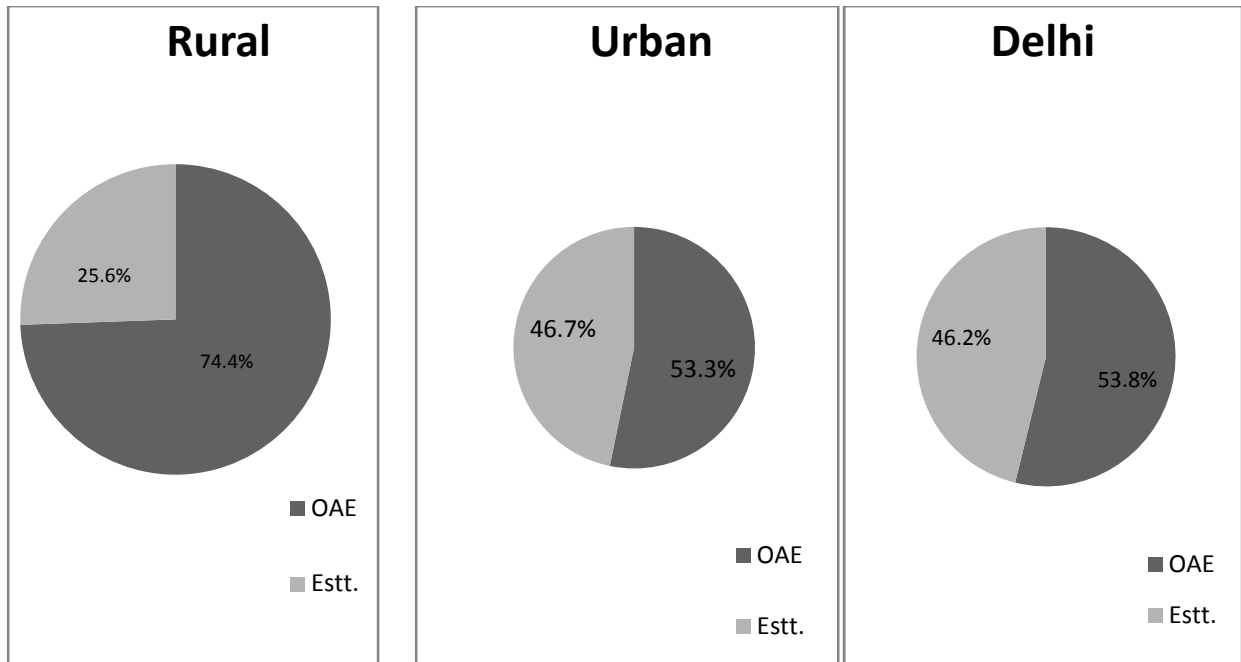
**Statement 2: Percentage distribution of enterprises by broad activity category**

Activity Description	Rural			Urban			Delhi		
	OAE	Estt.	All	OAE	Estt.	All	OAE	Estt.	All
<b>Manufacturing</b>	18.3	2.9	14.4	10.7	18.9	14.6	11.0	18.7	14.6
<b>Trading</b>	34.5	41.9	36.4	51.1	56.4	53.6	50.4	56.2	53.1
<b>Other Services</b>	47.2	55.2	49.2	38.2	24.6	31.9	38.5	25.1	32.3
<b>Total</b>	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0

**Figure 1.1: Percentage distribution of Enterprises by enterprise type**



**Figure 1.2: Percentage distribution of enterprises by sector and type**



**Type of ownership of enterprise:**

Type of ownership is one of the major characteristics of enterprises. Statement 3 gives the percentage distribution of Unincorporated Non-Agricultural enterprises by type of ownership of the enterprises categorized by type of enterprise separately for rural, urban and combined sector. Proprietary enterprises (i.e. enterprises owned by a single household) had the highest share (92.1%) of Unincorporated Non-Agricultural enterprises, out of which only 7.5% of the enterprises were owned by female enterprises in comparison to 84.6% enterprises with male proprietorship. At the same time 7.9% of the estimated Unincorporated Non-Agricultural enterprises were partnership-owned, of which 7.4% partnership enterprises were having partners from the same household and only 0.5% enterprises had partners from different household. Share of “self help group” “trusts” and “others” in the total number of enterprises were negligible.

**Statement 3: Percentage distribution of estimated number of enterprises by type of ownership for each sector and enterprise type**

Type of Ownership		Rural			Urban			Delhi		
		OAE	Estt.	All	OAE	Estt.	All	OAE	Estt.	All
proprietary	males	75.7	98.1	81.4	82.7	87.0	84.7	82.4	87.1	84.6
	females	23.4	0.1	17.4	10.2	3.9	7.3	10.6	3.9	7.5
partnership with members of	same household	0.9	0.8	0.9	7.0	8.2	7.5	6.7	8.1	7.4
	different households	0.0	0.8	0.2	0.2	0.8	0.5	0.2	0.8	0.5
self help group		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
trusts		0.0	0.2	0.1	0.0	0.0	0.0	0.0	0.0	0.0
others		0.0	0.0	0.0	0.0	0.1	0.0	0.0	0.1	0.0
n.r.		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<b>all</b>		<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>

Distribution of enterprise in percentage by type of ownership for each broad activity category is presented in Statement 4. In manufacturing sector, 93.7% enterprises were under ownership of proprietors and 6.3% were under partnership in comparison to 98.3% and 1.7% respectively at All India level. Following the same pattern, in trading sector in Delhi 92.3% of enterprises were under ownership of proprietors & 7.7% were under partnership in comparison to 97.5% and 2.5% respectively at All India level whereas other services activities had 91.1% of the enterprises under proprietorship and 8.7% under partnership in comparison to 96.0% and 2.0% respectively at All India level. (Reference to Table 3 in Annexure A)

**Statement 4: Percentage distribution of enterprises by type of ownership for each broad activity category**

Activity description	proprietary			partnership with members of		self help group	trusts	others	n.r.	all
	male	female	all	same household	different households					
<b>Manufacturing</b>	73.3	20.4	93.7	5.7	0.6	0	0	0	0	<b>100</b>
<b>Trading</b>	87.5	4.9	92.3	7.5	0.2	0	0	0	0	<b>100</b>
<b>Other services</b>	85	6.1	91.1	7.9	0.8	0	0	0.1	0	<b>100</b>
<b>Delhi</b>	<b>84.6</b>	<b>7.5</b>	<b>92.1</b>	<b>7.4</b>	<b>0.5</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>100</b>
<b>All India</b>	<b>76.4</b>	<b>19.5</b>	<b>96.0</b>	<b>1.4</b>	<b>0.6</b>	<b>1.8</b>	<b>0.1</b>	<b>0.1</b>	<b>-</b>	<b>100</b>

### **Social group of owner:**

Information on the social group of the owner/partner of Unincorporated Non-Agricultural enterprises operating on proprietary and partnership basis was collected during the survey and the distribution of proprietary and partnership enterprises is presented in Statement 5. It is worth mention to note that Self Help Group and Trusts were out of the preview of social group of owner. About 34% of Unincorporated Non-Agricultural enterprises were owned by persons belonging to ST, SC and OBCs. This proportion was more in case of OAEs (43%) than establishments (23%). Under Own Account Enterprises, 66% in rural enterprises were owned by backward sections and 42% in urban sector. On the other hand in case of establishment, 54% were owned by backward sections in rural sector and 22% in urban sector.

### **Statement 5: Distribution of enterprises by social group**

Social Group of owner/ partner	Distribution of Enterprises								
	Rural			Urban			Delhi		
	OAE	ESTT	ALL	OAE	ESTT	ALL	OAE	ESTT	ALL
Schedule tribe	0	0.15	0.04	0.34	0.11	0.23	0.33	0.11	0.23
Schedule Caste	12.38	2.56	9.89	11.02	3.05	7.30	11.07	3.05	7.37
Other Backward Classes	53.55	51.20	52.94	30.99	19.10	25.44	31.82	19.57	26.16
Others	34.07	45.6	37.01	57.31	77.40	66.69	56.45	76.93	65.90
Not Known	0	0.49	0.12	0.34	0.34	0.34	0.33	0.34	0.34
All	100	100	100	100	100	100	100	100	100

\*SHG and Trust not included as per tabulation plan

### **Nature of Operation**

Percentage distribution of enterprises on the basis of nature of operation is stated in Statement 6. Maximum enterprises i.e. 99.6% enterprises were of perennial nature which operated throughout the year. All the broad categories were showing the same trend.

**Statement 6: Percentage distribution of enterprises by nature of operation for each broad activity category**

Activity Description	Rural			Urban			Delhi		
	Perennial	Seasonal	Casual	Perennial	Seasonal	Casual	Perennial	Seasonal	Casual
<b>Manufacturing</b>	95.1	0.0	4.9	98.6	0.1	1.4	98.5	0.1	1.5
<b>Trading</b>	100.0	0.0	0.0	99.9	0.0	0.0	99.9	0.0	0.0
<b>Other Services</b>	100.0	0.0	0.0	99.5	0.4	0.1	99.5	0.4	0.1
<b>Total</b>	99.3	0.0	0.7	99.6	0.1	0.3	99.6	0.1	0.3

**Location of Enterprise**

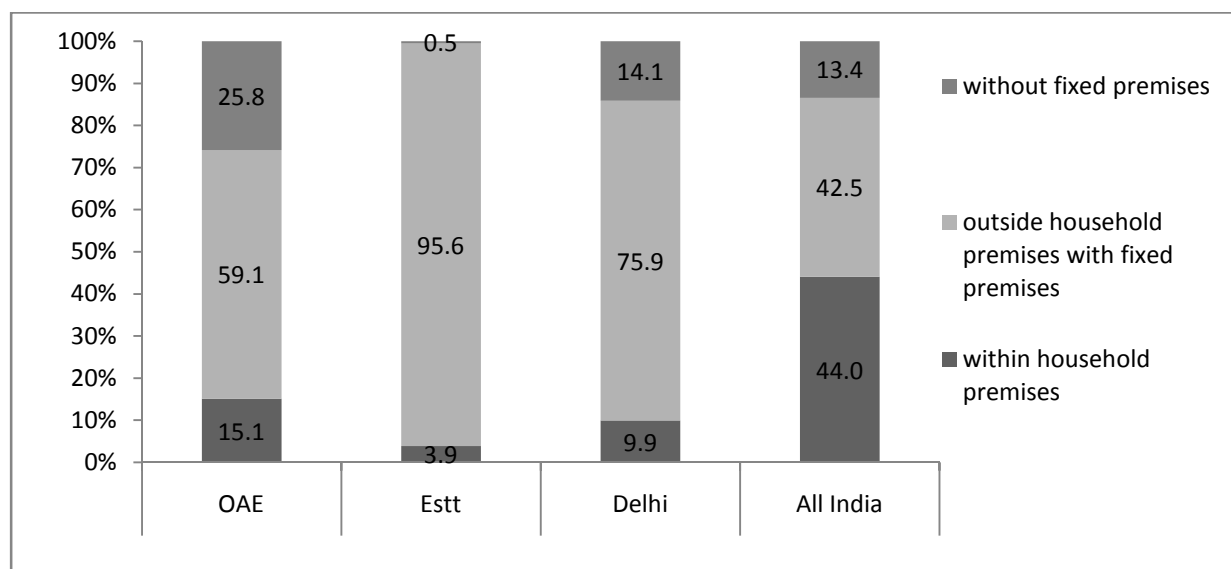
One of the prominent characteristics of the enterprises in the unorganized sector is the presence of units that do not have fixed location. They operate as street vendors or as mobile market. It can be seen from the Statement 7 that about 86% Unincorporated Non-Agricultural enterprises run the business at fixed location either within the household premises or outside the premises in Delhi and about 14% operated their business without any fixed location. This trend is in parity with All India figures of about 86.0% enterprises being run with fixed premises and about 14.0% being run without fix premises. Around 10% of the enterprises operated within household premises in Delhi, 74.2% of enterprises were operated outside household premises with permanent structure. About 2% and 12% Unincorporated Non-Agricultural enterprises in Delhi were operating as mobile market and street vendors respectively. In case of OAE's about 26.0% were working without fix premises in Delhi during the reference period in comparison to only 0.5% of the enterprise working in Delhi without fixed premises.



**Statement 7: Percentage distribution of enterprises by type of location for sector and enterprises type**

Activity description		Delhi			All India
		OAE	Estt.	All	
within household premises		15.1	3.9	9.9	44
outside household premises with fixed premises	permanent structure	56.0	95.4	74.2	38
	temporary structure	0.8	0	0.4	2.5
	without any structure	2.3	0.1	1.3	2
without fixed premises	mobile market	3.3	0.2	1.9	4.4
	street vendors	22.5	0.3	12.2	9
all		100	100	100	100

**Figure 3: Percentage Distribution of enterprises by type of location**



**Status of Registration:**

Statement 8 gives the percentage distribution of Unincorporated Non-Agricultural enterprises by status of registration. In Delhi about 42% of all enterprises were registered under

any act or with any registering authority in comparison to 31% at All India level. About 58% of enterprises in Delhi were unregistered in comparison to 69% at All India level. The highest number of registered enterprises were under trading sector (48%), followed by manufacturing (36%) and service sector (35%).

**Statement 8: Percentage of enterprises by status of registration for each broad activity category**

Activity Category	Rural		Urban		Delhi		All India	
	Unregd.	Registered	Unregd.	Registered	Unregd.	Registered	Unregd.	Registered
Manufacturing	97.3	2.7	62.7	37.3	63.6	36.4	84.9	15.1
Trading	90.0	10.0	51.3	48.7	52.0	48.0	63.1	36.9
Other services	98.1	1.9	63.6	36.4	65.0	35.0	60.7	39.3
All	95.0	5.0	56.9	43.1	57.9	42.1	69.1	30.9

**Specific problems faced by enterprises in operation**

Information on different types of problems faced by Unincorporated Non-Agricultural enterprises in carrying out their day to day operation was collected in this survey. Statement 9 gives the distribution of Unincorporated Non-Agricultural enterprises reporting specific problems faced. About 84% of all Unincorporated Non-Agricultural enterprises reported to not having faced any specific problem in their day to day operations. However, “Sinking/fall of demand” (8.1%), “shortage of raw material” (2%) and erratic power supply (1.9%) were the three main problems faced by the enterprises in Delhi.

On analysis it has been found that 87% of the OAE's did not face any specific problem in Delhi as compared to 80% establishment enterprises. Also 7.6% OAE's had to face the problem of fall in demand as compare to 8.6% of the establishments. It is pertinent to mention that if an enterprise faced more than one, only the most severe one faced has been taken under consideration in this Statement.

**Statement 9: Distribution of enterprises reporting specific problems faced separately by sector and enterprises type**

Type of problems faced		enterprises reporting specific problem								
		Rural			Urban			Delhi		
		OAE	Estt	All	OAE	Estt	All	OAE	Estt	All (%)
<b>no specific problem</b>		16072	5101	21173	362562	294704	657266	378634	299805	678438 (83.68)
<b>nature of problem faced</b>	<b>erratic power supply/ power cuts</b>	0	0	0	4008	11699	15707	4008	11699	15707 (1.94)
	<b>shortage of raw material</b>	0	8	8	6492	9687	16179	6492	9695	16186 (2.00)
	<b>shrinking /fall of demand</b>	30	402	433	33296	31987	65283	33326	32390	65716 (8.11)
	<b>non-availability/high cost of credit</b>	0	6	6	6816	4113	10928	6816	4118	10934 (1.35)
	<b>non recovery of financial dues</b>	0	10	10	3102	5622	8724	3102	5632	8734(1.08)
	<b>non-availability of labour as and when needed</b>	0	0	0	227	3128	3354	227	3128	3354 (0.41)
	<b>non-availability of skilled labour as and when needed</b>	0	0	0	676	3912	4588	676	3912	4588 (0.57)
	<b>labour disputes and related problem</b>	0	0	0	0	106	106	0	106	106 (0.01)
	<b>others</b>	0	0	0	3053	3905	6958	3053	3905	6958 (0.86)
<b>Total</b>		<b>16102</b>	<b>5526</b>	<b>21628</b>	<b>420231</b>	<b>368863</b>	<b>789094</b>	<b>436333</b>	<b>374389</b>	<b>810722 (100)</b>

**Enterprises receiving assistance:**

Statement 10 gives the proportion of Unincorporated Non-Agricultural enterprises receiving government assistance separately by type of assistance received. The percentage of Unincorporated Non-Agricultural enterprises in Delhi reported not receiving any assistance from government is 99.92% in comparison to 0.08% enterprises received assistance from the government. The proportion of enterprises that received assistance from government in the form of financial loan is 0.04%, followed as 0.03% in the form of subsidies.

**Statement 10: Distribution of enterprises receiving assistance from government by type of assistance categorized by enterprises type and sector**

Activity category		Distribution of enterprises receiving any assistance								
		Rural			Urban			Delhi		
		OAE	Estt	All	OAE	Estt	All	OAE	Estt	All
<b>without assistance</b>		16102	5526	21628	420024	368459	788483	436126	373985	810111 (99.92%)
<b>Assistance received by</b>	<b>Financial loan</b>	0	0	0	207	120	327	207	120	327 (0.04%)
	<b>subsidy</b>	0	0	0	0	279	279	0	279	279 (0.03%)
	<b>machinery/equipment</b>	0	0	0	0	3	3	0	3	3 (0.00%)
	<b>training</b>	0	0	0	0	0	0	0	0	0 (0.00%)
	<b>marketing</b>	0	0	0	0	0	0	0	0	0 (0.00%)
	<b>raw material</b>	0	0	0	0	0	0	0	0	0 (0.00%)
	<b>others</b>	0	0	0	0	2	2	0	2	2 (0.00%)
<b>Total</b>		<b>16102</b>	<b>5526</b>	<b>21628</b>	<b>420231</b>	<b>368863</b>	<b>789094</b>	<b>436333</b>	<b>374389</b>	<b>810722 (100%)</b>

**ESTIMATED NUMBER OF EMPLOYMENT**

The survey has estimated the number of Persons employed in the Unincorporated Non-Agricultural enterprises sector of Delhi as 19.60 Lakh. Out of total person employed, 31030 (1.58%) were employed in rural areas whereas 19.29 Lakh (98.42%) were estimated to be working in urban areas of Delhi. Further study revealed that about 5.52 lakh persons accounting for 28.18% of the total person employed were found to be employed in own account enterprises (OAE) in Delhi as comparison to about 62% persons at All India level whereas, 14.08 lakh persons (71.82%) were employed in establishments in Delhi as comparison to 38% at All India level. Distribution of sector-wise and enterprise type-wise employment is presented in Figure 4.1.

Activity-wise classification of employment by enterprise type is given in Statement 12. "Trading" sector alone is the source of employment for about 48.80% of the persons gainfully employed in this sector in Delhi as compared to about 34.8% at All India level.

Breakup of the employment major activity group-wise (as per tabulation category of NIC 2008) is presented in annexure table 16 in annexure A.

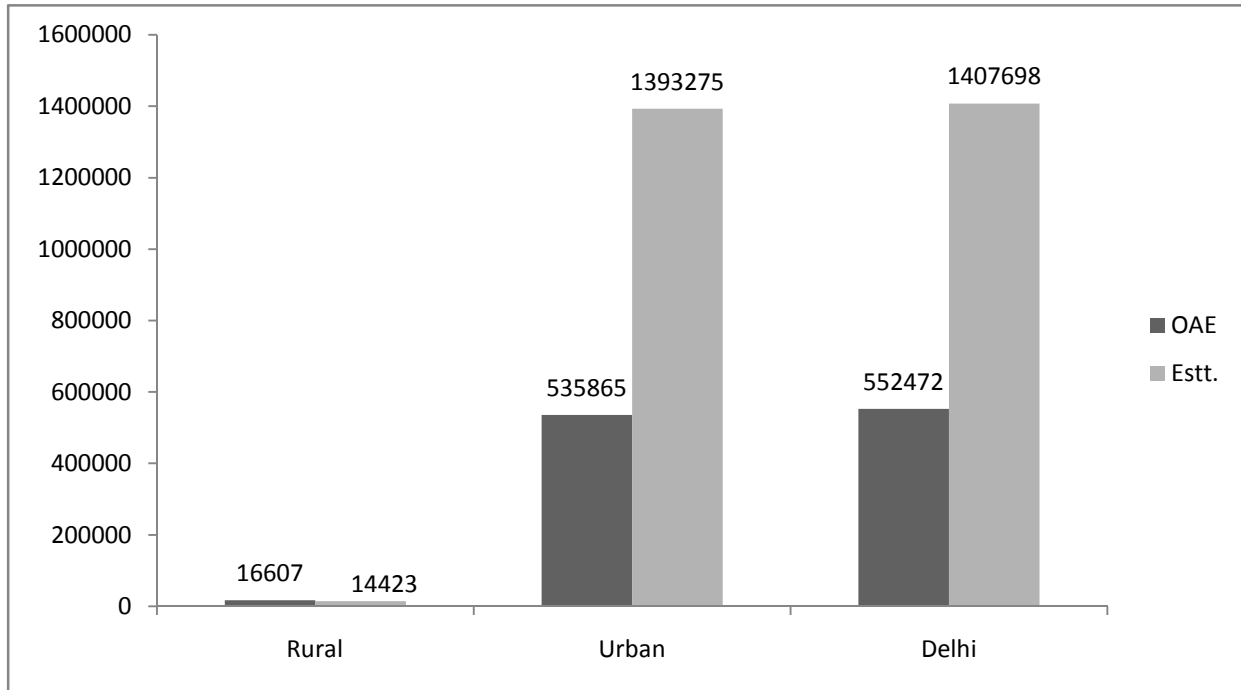
**Statement 11: Estimated Number of workers by enterprise type and sector for each broad activity category**

Activity description	Rural			Urban			Delhi		
	OAE	Estt.	All	OAE	Estt.	All	OAE	Estt.	All
<b>Manufacturing</b>	2957	1449	4405	60817	357028	417846	63774	358477	422251
<b>Trading</b>	5744	5783	11527	280090	664567	944657	285834	670350	956184
<b>Other services</b>	7906	7191	15098	194957	371679	566636	202863	378870	581734
<b>Delhi</b>	<b>16607</b>	<b>14423</b>	<b>31030</b>	<b>535865</b>	<b>1393274</b>	<b>1929139</b>	<b>552471</b>	<b>1407697</b>	<b>1960169</b>

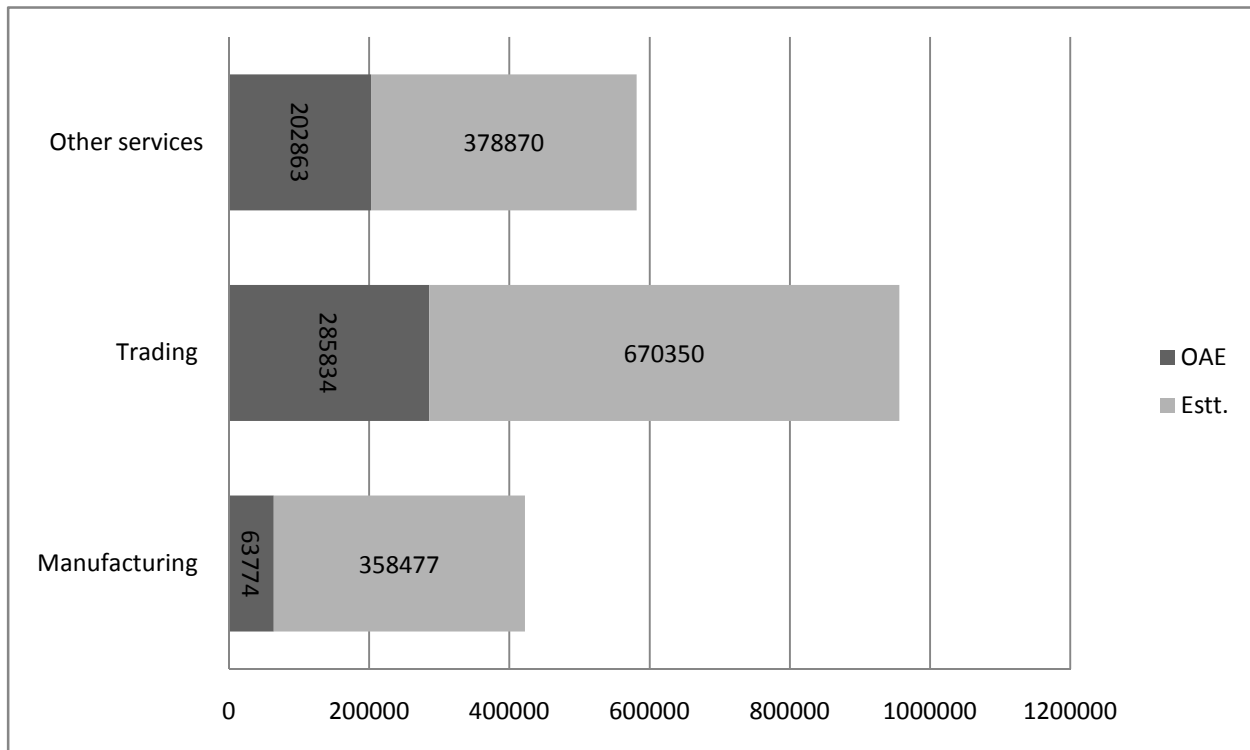
**Statement 12: Percentage distribution of workers by enterprise type and sector for each broad activity category**

Activity description	Rural			Urban			Delhi			All India		
	OAE	Estt.	All	OAE	Estt.	All	OAE	Estt.	All	OAE	Estt.	All
<b>Manufacturing</b>	17.8	10	14.2	11.3	25.6	21.7	11.5	25.5	21.5	32.81	31.70	32.39
<b>Trading</b>	34.6	40.1	37.1	52.3	47.7	49	51.7	47.6	48.8	38.95	28.03	34.81
<b>Other services</b>	47.6	49.9	48.7	36.4	26.7	29.4	36.7	26.9	29.7	28.23	40.26	32.79
<b>All</b>	100	100	100	100	100	100	100	100	100	100	100	100

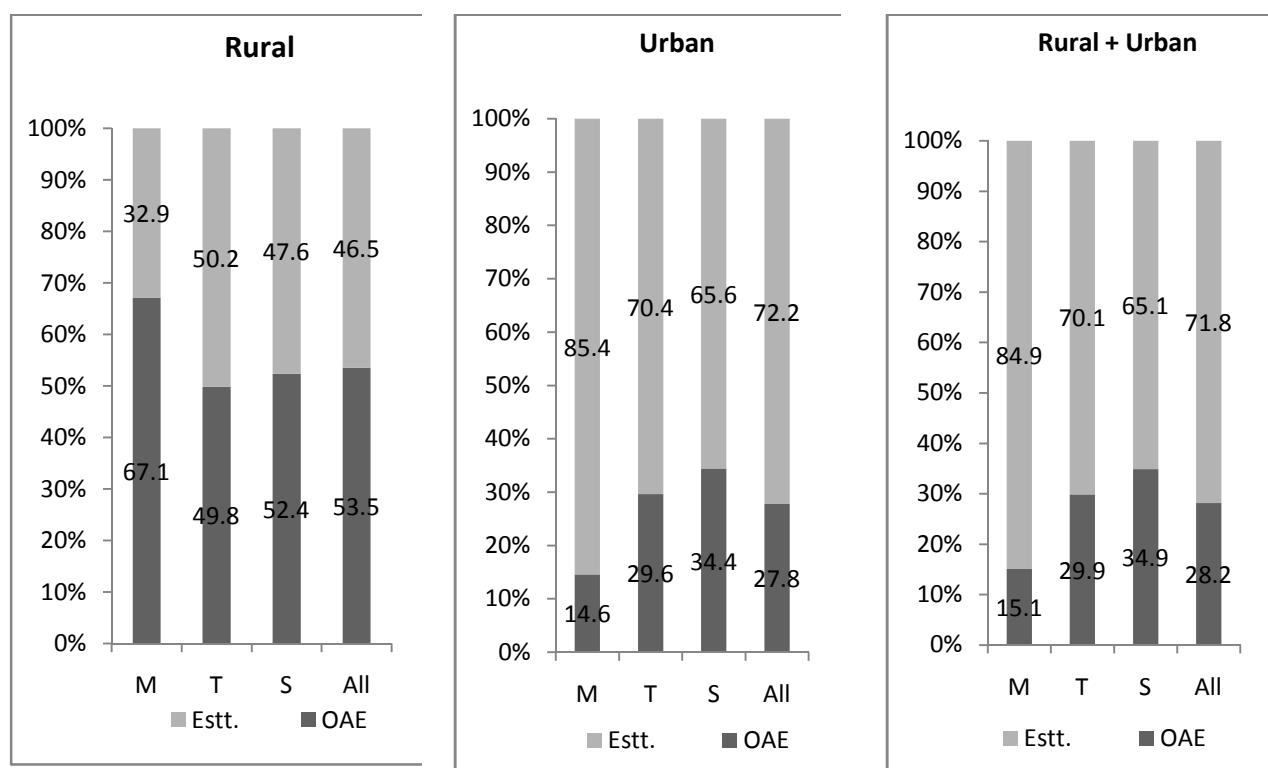
**Figure 4.1 : Distribution of Employment by type and Sector**



**Figure 4.2: Activity wise distribution of Employment**



**Figure 4.3: Percentage distribution of workers by type of enterprise for each sector and broad activity category**



### Workers by type of Worker and Gender

Breakup of employment in terms of hired and other unpaid household workers revealed that 9.65 lakh (49.2%) were hired workers and 9.94 lakh (50.8%) figured in the others category (working owners/non hired).

**Statement 13: Number of workers by type of worker and gender for each activity category**

Activity description	Type of workers								
	working owners			formal hired workers			informal hired workers		
	females	males	all	females	males	all	females	males	all
Manufacturing	26341	101815	128155	410	10739	11149	23756	242445	266201
Trading	31581	444266	475846	666	10356	11023	23356	388874	412231
Other services	26799	264688	291487	12518	17114	29632	53360	182330	235690
<b>All</b>	<b>84720</b>	<b>810768</b>	<b>895488</b>	<b>13594</b>	<b>38210</b>	<b>51804</b>	<b>100472</b>	<b>813650</b>	<b>914122</b>
Activity description	Type of workers								
	helper/apprentice			Other worker			Total		
	females	males	all	females	males	all	females	males	all
Manufacturing	616	2468	3084	4354	9307	13661	55477	366774	422251
Trading	8940	15742	24682	3719	28683	32402	68263	887921	956184
Other services	3976	7375	11351	2648	10927	13574	99300	482434	581734
<b>All</b>	<b>13532</b>	<b>25585</b>	<b>39117</b>	<b>10721</b>	<b>48917</b>	<b>59638</b>	<b>223040</b>	<b>1737130</b>	<b>1960169</b>

## Enterprise per Worker

Another important indicator of employment is the average employment per enterprise. It was found that the average employment of own account enterprise (OAE) in Delhi was 1.27 persons as compared to 1.29 persons at All India level. Average number of workers employed in establishment in Delhi was 3.76 in comparison to 4.20 at All India level. The overall average number of workers employed per Unincorporated Non-Agricultural enterprises in Delhi was 2.42 as compared to 1.75 workers at All India level. Manufacturing activity recorded the highest average employment per enterprise both in case of OAE and establishment in Delhi.

### Statement 14: Estimated number of workers per enterprises types and sector for each broad activity category

Activity description	Number of Enterprises								
	Rural			Urban			Delhi		
	OAE	Estt.	All	OAE	Estt.	All	OAE	Estt.	All
<b>Manufacturing</b>	1.00	9.05	1.42	1.35	5.11	3.63	1.33	5.12	3.57
<b>Trading</b>	1.03	2.50	1.47	1.31	3.19	2.23	1.30	3.19	2.22
<b>Other services</b>	1.04	2.36	1.42	1.21	4.09	2.25	1.21	4.03	2.22
<b>Delhi</b>	1.03	2.61	1.43	1.28	3.78	2.44	1.27	3.76	2.42
<b>All India</b>	1.28	4.20	1.53	1.31	4.20	1.99	1.29	4.20	1.75

In Delhi average annual emolument per hired worker was estimated as Rs. 1,17,706/-, in comparison to Rs. 87,544/- at All India level. The hired workers engaged in service sector were getting the highest annual average emoluments per hired worker.

The estimated number of hired workers in Delhi was 9,65,960 which was about 49% of total workers engaged in Unincorporated Non-Agricultural enterprises in Delhi. Maximum number of hired workers was engaged in trading sector, followed by manufacturing and service sector.

### Statement 15: Annual emoluments per hired worker and estimated number of hired workers for each broad activity category and sector

activity category	Annual emoluments per hired worker (Rs.)			Estimated number of hired workers		
	rural	urban	Delhi	rural	urban	Delhi
<b>Manufacturing</b>	118493	114971	114988	1281	276069	277350
<b>Trading</b>	106202	110546	110510	3433	419854	423287
<b>Other services</b>	111904	132360	132027	4326	260997	265322
<b>Delhi</b>	110672	117772	117706	9041	956919	965960
<b>All India</b>	74871	92441	87544			



## GROSS VALUE ADDED

Average gross value added per worker means on an average the value of goods and services produced per worker in enterprises.

In Statement 17, average gross value added per worker by establishments are higher than own account establishment in all sector (rural, urban and combined) in each and every activity category. The annual value added per worker was Rs. 239163 in Delhi which is more than twice of All India figure of Rs. 103744. Workers engaged in trading activity in Delhi contributed highest GVA i.e. Rs. 273849, followed by service sector. On comparison with other states, it has been observed that **Delhi reported the highest annual GVA per worker at All India level.**

As Delhi is a metropolitan city and it's rural counterpart is almost urbanized in terms of availability of basic infrastructure facilities the annual GVA per worker in rural sector of Delhi is not much different from that of urban sector which can be observed from the Statement 16.

### Statement 16: Annual gross value added per worker (in Rs.) by enterprise type for each activity category

Activity description	annual gross value added per worker (Rs.)								
	Rural			Urban			Combined		
	OAE	ESTT	ALL	OAE	ESTT	ALL	OAE	ESTT	ALL
<b>Manufacturing</b>	156236	214635	175439	129839	199867	189675	131062	199927	189526
<b>Trading</b>	163114	295429	229499	207494	302581	274390	206602	302519	273849
<b>Other services</b>	203725	228109	215339	157103	250331	218256	158920	249909	218180
<b>Delhi</b>	181224	253749	214935	180346	262323	239553	180373	262235	239163

### Statement 17: Annual gross value added per worker (in Rs.) by enterprise type for state

State	Rural			Urban			Rural+Urban		
	OAE	Estt.	All	OAE	Estt.	All	OAE	Estt.	All
Andhra Pradesh	47193	96079	60156	75712	137912	101620	59157	120693	79912
Assam	76397	79792	77376	118528	125917	122712	87156	104009	93701
Bihar	77106	98870	80467	110566	142673	124850	86600	126675	97170
Chhattisgarh	33039	74070	38864	65882	129671	96504	44298	115453	65527
<b>Delhi(State Sample)</b>	<b>181224</b>	<b>253749</b>	<b>214935</b>	<b>180346</b>	<b>262323</b>	<b>239553</b>	<b>180373</b>	<b>262235</b>	<b>239163</b>
Gujarat	62861	248776	112882	99329	183872	140027	87072	194723	132854
Haryana	95379	139044	113852	136327	215040	184606	118223	194665	160064
Himachal Pradesh	84539	154110	109656	131681	228898	191485	92930	182521	131295
Jammu & Kashmir	89934	100652	92683	138964	205226	173245	113219	177782	139583

State	Rural			Urban			Rural+Urban		
	OAE	Estt.	All	OAE	Estt.	All	OAE	Estt.	All
Jharkhand	45925	109507	57838	79834	126210	98009	53237	116766	68631
Karnataka	68005	138676	85525	120232	182153	155160	93923	173220	129366
Kerala	92941	165356	127292	102193	206839	160969	97996	191532	147191
Madhya Pradesh	39019	95076	50349	78768	132849	104274	56819	123057	80034
Maharashtra	72143	178541	95129	124413	210649	173813	100254	205830	148719
Odisha	36960	88268	45588	67395	136213	98710	43357	113372	60933
Punjab	87245	118941	95923	112616	161952	139683	101273	153328	125047
Rajasthan	72449	123196	85872	106495	191091	145679	89371	170949	120272
Tamil Nadu	61026	115731	80617	89443	148703	120999	79486	141812	109610
Telangana	50618	153841	64681	86781	163261	122114	68558	161780	99857
Uttar Pradesh	47205	71811	53002	79348	134488	100819	61381	110678	76762
Uttarakhand	70803	127001	87762	132027	139930	135562	98982	134951	112552
West Bengal	38151	80958	45628	71460	118002	90086	48945	103251	63299
<b>all India</b>	<b>55459</b>	<b>114024</b>	<b>69198</b>	<b>96718</b>	<b>167627</b>	<b>131811</b>	<b>73951</b>	<b>152723</b>	<b>103744</b>

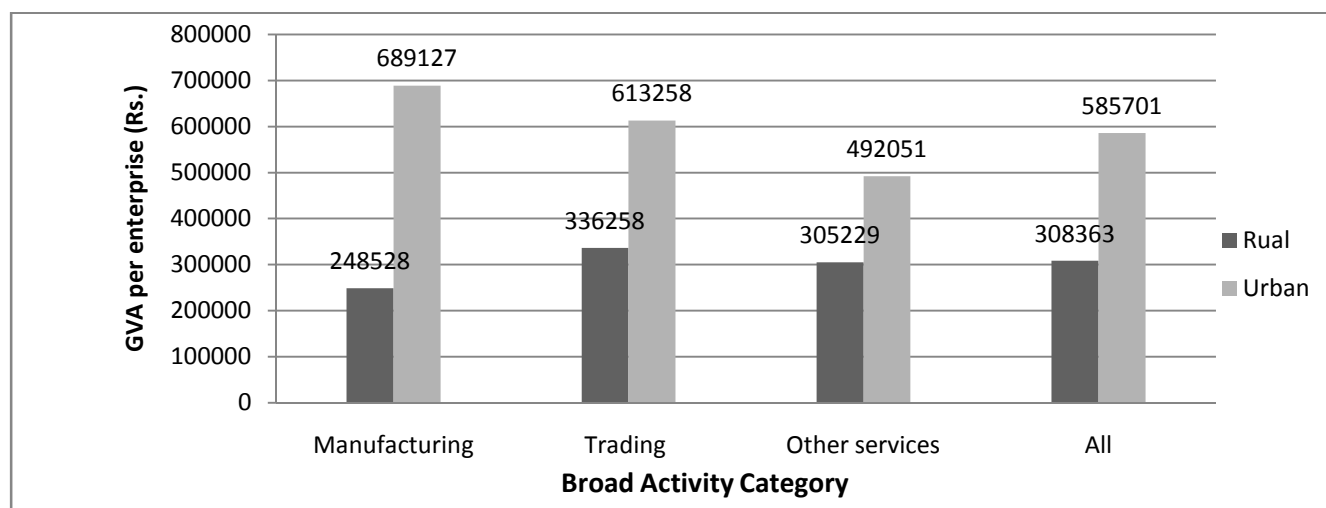
Annual gross value added per enterprises for each sector in Delhi is presented in Statement 18. The manufacturing sector in Delhi reported highest GVA per enterprises, followed by trading sector. The GVA reported per Establishment in Delhi was Rs. 9,86,104 in comparison to Rs. 2,28,395 per OAEs. Out of total establishment in Delhi, enterprises in manufacturing sector reported highest GVA per enterprises, whereas in the case of OAEs, enterprises in trading sector reported highest GVA per enterprise.

From Statement 19 it can be seen that estimated GVA per enterprise i.e. Rs. 2,02,616 in Delhi was recorded the highest in the country.

**Statement 18: Estimated GVA (Rs.) per enterprises by enterprise type and sector for each broad activity category**

Activity description	Annual Gross Value Added per Enterprise (Rs.)								
	Rural			Urban			Rural+Urban		
	OAE	ESTT	ALL	OAE	ESTT	ALL	OAE	ESTT	ALL
<b>Manufacturing</b>	156608	1942170	248528	174880	1021539	689127	173759	1023644	677527
<b>Trading</b>	168710	738331	336258	270860	966239	613258	268283	963733	608197
<b>Other services</b>	211960	537453	305229	190848	1024054	492051	191802	1008243	484457
<b>All</b>	186905	662261	308363	229985	990955	585701	228395	986104	578303

**Figure 5: Annual GVA per Enterprises (Rs.) engaged in market production by Broad Activity Category**



**Statement 19: Estimated GVA (Rs.) per enterprises by enterprise type and sector for each broad activity category**

State	Rural			Urban			Delhi		
	OAE	estt.	all	OAE	estt.	all	OAE	estt.	all
Andhra Pradesh	56873	482488	90794	97999	655344	188730	73415	586497	132432
Assam	85563	241256	105874	135321	399471	219755	98089	322425	140116
Bihar	96895	288153	110859	150960	548103	238999	111341	435984	149657
Chhattisgarh	55164	373065	71692	101210	526295	211289	71823	493071	130374
<b>Delhi (State Sample)</b>	<b>186905</b>	<b>662261</b>	<b>308363</b>	<b>229985</b>	<b>990955</b>	<b>585701</b>	<b>228395</b>	<b>986104</b>	<b>578303</b>
Gujarat	80751	1463574	183623	121865	933237	270556	108465	1011529	244558
Haryana	109777	774138	197233	164969	874116	392441	139885	853019	315418
Himachal Pradesh	97247	525047	165782	165329	982655	425700	108517	674743	216844
Jammu & Kashmir	100409	291209	122823	159375	683318	300660	128018	569407	214496
Jharkhand	61120	416135	87645	106217	456653	173356	70847	434232	108165
Karnataka	84311	581647	128466	159864	768622	336185	120483	730022	240825
Kerala	107268	603005	217404	123860	865015	324561	116131	759835	276363
Madhya Pradesh	54208	470787	81851	108820	610335	214950	78740	576132	147243
Maharashtra	93139	677670	143233	163543	899206	378555	130688	862499	283530
Odisha	52970	304918	72468	92493	515811	190836	61565	410504	102103
Punjab	97268	354970	129083	136388	623142	271081	118095	557617	211413
Rajasthan	89966	592997	132675	136505	818569	276470	112728	757006	208070
Tamil Nadu	77319	541368	138236	117260	626420	250518	102952	610074	214394
Telangana	54485	626171	77376	112572	671698	231696	80601	664474	154399
Uttar Pradesh	67131	367192	90822	116385	583133	199205	88479	509310	140827
Uttarakhand	84763	436956	130804	159321	450538	227104	118931	445520	177933
West Bengal	48213	346351	65755	86827	464977	151351	61061	420059	96686
<b>all India</b>	<b>71217</b>	<b>478319</b>	<b>106136</b>	<b>126529</b>	<b>703858</b>	<b>261554</b>	<b>95753</b>	<b>641104</b>	<b>181908</b>

## GVA by Product Approach

Statement 22, gives the Annual Gross Value Added for the Unincorporated Non-Agricultural enterprises (excluding construction). Product Approach GVA is worked out taking into account gross receipts, operative expenditures, distributive expenses and their activity-wise details. During the year 2015-16, the aggregate annual gross value added by the Unincorporated Non-Agricultural enterprises engaged in market production was estimated as Rs. 46884 crores.

Out of total GVA estimated by Product Approach, about 79% was contributed by Establishments in Delhi, whereas; Own Account Enterprises contributed 21% of the total GVA. When compared to contribution by type of enterprises, trading sector contributed highest GVA, followed by service sector and manufacturing sector in both categories of enterprises viz. OAEs and Estts.

The value of total GVA in Delhi for central sample is Rs. 46563 crores as compared to Rs. 46884 crores estimated for state sample. As per key indicators released by CSO, Delhi contributed 4% share in total GVA at All India level.

### Statement 22: Activity-wise Gross Value Added as per Product Approach (Rs. in crores)

Activity description	GVA by product approach		
	OAE	Establishments	All
Manufacturing	836	7167	8003
Trading	5905	20281	26187
Other services	3224	9470	12695
All	9966	36919	46884

## GVA by Factor Income Approach

An attempt has been made to depict the GVA by Factor Income Approach in Statement 23. Factor income approach takes in account the compensation to various factors of production namely salary/wages, rent, interest and profit and the individual details.

The GVA by factor income approach in Delhi was estimated at Rs. 46490 crores which has variation of less than 1% from that of GVA estimated by product approach i.e. Rs. 46884 crores in Delhi. The same pattern with respect to contribution to GVA by type of enterprises is evident i.e. trading sector contributed highest, followed by service sector and manufacturing sector.

**Statement 23: Activity-wise Gross Value Added as per Factor Income Approach (Rs. in crore)**

Activity description	GVA by factor income approach		
	OAE	Establishments	All
Manufacturing	827	7118	7945
Trading	5806	20147	25953
Other services	3192	9400	12592
All	9825	36665	46490

Statement 24 presents GVA per worker and GVA per enterprises at both product and factor income approach. It can be seen that GVA per worker estimated by product approach is marginally higher than GVA per worker estimated by factor income approach similarly, GVA per enterprise estimated by product approach was found to be marginally higher than GVA per enterprise estimated by factor income approach.

**Statement 24: Activity-wise GVA/Enterprise and GVA/Worker as per Factor Income and Product Approach (in Rs.).**

Activity Description	GVA/Worker		GVA/enterprise	
	Product Approach	Factor Income Approach	Product Approach	Factor Income Approach
Manufacturing	189526	188156	677522	672626
Trading	273848	271405	632173	626532
Other services	218180	216411	484457	480529
All (Delhi)	239163	237151	578303	573438
All India	103744	-	181908	-

**FIXED ASSESTS**

Statement 20, presents that the Value of owned fixed assets per enterprise in Delhi was more in establishment sector in comparison to own account enterprise in both rural as well as in urban areas. Enterprises engaged in manufacturing sector reported the highest value of owned fixed asset per enterprises, followed by Service sector. On comparison at enterprises level, establishment reported more value of owned fixed asset per enterprises as compared to own account enterprises, the same pattern was observed at All India level. Further Delhi reported value of owned fixed asset per enterprises as Rs. 4.67 lakh in comparison to Rs. 2.32 lakh at All India level.

**Statement 20: Value (Rs.) of owned fixed assets per enterprise for each broad activity category by sector and enterprise type**

Activity description	Value of fixed assets owned per enterprises (Rs.)								
	Rural			Urban			Delhi		
	OAE	Estt.	All	OAE	Estt.	All	OAE	Estt.	All
<b>Manufacturing</b>	112917	1492969	183962	123599	947252	622988	122944	944250	609772
<b>Trading</b>	99755	584183	242245	202274	607686	401978	199339	606855	398520
<b>Other services</b>	392296	574740	444575	206169	1060446	516887	212698	1044664	510918
<b>Delhi</b>	<b>240230</b>	<b>605294</b>	<b>333507</b>	<b>195262</b>	<b>783493</b>	<b>470697</b>	<b>196064</b>	<b>779791</b>	<b>465627</b>
<b>All India</b>	80764	603226	125690	163134	933121	343503	117292	841256	231869

**MARKET VALUE OF OWNED FIXED ASSESTS**

The survey collected information on various fixed assets owned and hired by the enterprises. For the purpose of presenting the fixed asset owned, fixed assets are defined as those produced assets held for the purpose of producing or providing goods and services and not for resale in the normal course of entrepreneurial activities and covered all assets that had a normal economic life of one year from the date of purchase. As per Statement 21, for all types of activities in Delhi, the establishments recorded the maximum market value of owned fixed assets i.e. market value of land, building, plant and machinery, transport equipment, tools and fixed assets, software and database and ICT in comparison to own account enterprises. On the other hand capital work in progress is more in own account enterprises in comparison to establishment enterprises in all type of activity. Out of total value of owned fixed assets of the enterprises in Delhi, the highest value of owned fixed assets was recorded in trading sector, followed by service sector.

**Statement 21: Market value of owned fixed assets (Rs in lakhs) as on the date of survey by type of asset for each activity category**

Type of Enterprises		Market value (in Rs. in lakhs) as on the date of survey								
		land	building	plant and machinery	Transport equipment	tools and fixed assets	Software & data base	ICT	capital work in progress	total
M	OAE	195189	39331	6821	6152	4127	6	2671	33	59141
	Est	2214679	323821	196719	94757	32027	354	13417	10	661105
	All	2409868	363152	203540	100909	36154	359	16088	43	720245
T	OAE	2512238	296412	4238	49474	73011	93	15215	340	438783
	Est	9525390	712931	16308	306295	190341	1207	49789	233	1277104
	All	12037628	1009343	20546	355769	263352	1301	65004	573	1715888
S	OAE	1207653	194337	12232	106759	29518	54	13796	875	357571
	Est	4614797	541293	87794	180355	133653	1365	36448	333	981241
	All	5822450	735630	100025	287114	163170	1419	50243	1208	1338809
Total	OAE	<b>3915079</b>	<b>530080</b>	<b>23290</b>	<b>162385</b>	<b>106655</b>	<b>153</b>	<b>31682</b>	<b>1247</b>	<b>855492</b>
	Est	<b>16354866</b>	<b>1578045</b>	<b>300821</b>	<b>581407</b>	<b>356021</b>	<b>2926</b>	<b>99654</b>	<b>576</b>	<b>2919450</b>
	All	<b>20269945</b>	<b>2108125</b>	<b>324111</b>	<b>743792</b>	<b>462676</b>	<b>3079</b>	<b>131336</b>	<b>1823</b>	<b>3774942</b>

Note: Value of land being non-produced asset is not included in total

**Market value of Owned fixed assets per enterprise**

In all type of activities, establishment enterprises has the maximum market value of owned fixed assets per enterprises i.e. market value of building, plant and machinery, transport equipment, tools and fixed assets, software and database and ICT per enterprises are higher in establishment enterprises in comparison to own account enterprises.

**Statement 22: Market value of owned fixed assets (Rs.) per enterprise as on the date of survey by type of asset for each activity category**

Type of Enterprises		Market value (in Rs. In lakhs) as on the date of survey								
		land	building	plant and machinery	transport equipment	tools and fixed assets	software & database	ICT	capital work in progress	total
M	OAE	405770	81764	14179	12789	8579	12	5553	68	122944
	Est	3163204	462510	280972	135340	45744	505	19163	14	944250
	All	2040236	307451	172320	85431	30609	304	13621	36	609772
T	OAE	1141312	134660	1925	22476	33169	42	6912	154	199339
	Est	4526276	338771	7749	145546	90446	574	23659	111	606855
	All	2795775	234423	4772	82628	61164	302	15097	133	398520
S	OAE	718364	115600	7276	63505	17558	32	8206	521	212698
	Est	4913085	576281	93469	192013	142292	1453	38803	354	1044664
	All	2221968	280732	38172	109569	62269	541	19174	461	510918
Total	OAE	<b>897268</b>	<b>121485</b>	<b>5338</b>	<b>37216</b>	<b>24444</b>	<b>35</b>	<b>7261</b>	<b>286</b>	<b>196064</b>
	Est	<b>4368415</b>	<b>421499</b>	<b>80350</b>	<b>155295</b>	<b>95094</b>	<b>781</b>	<b>26618</b>	<b>154</b>	<b>779791</b>
	All	<b>2500233</b>	<b>260031</b>	<b>39978</b>	<b>91744</b>	<b>57070</b>	<b>380</b>	<b>16200</b>	<b>225</b>	<b>465627</b>



# **ANNEXURE A**

**Table 1 : Distribution of Enterprises by enterprise by broad activity Category**

Activity Category	Number of Enterprises								
	Rural			Urban			Rural+Urban		
	OAE	Estt.	All	OAE	Estt.	All	OAE	Estt.	All
M1	0	0	0	0	0	0	0	0	0
M2	7	43	50	5302	3669	8971	5309	3712	9021
M3	0	0	0	0	87	87	0	87	87
M4	0	0	0	0	0	0	0	0	0
M5	0	0	0	2335	3478	5813	2335	3478	5813
M6	2943	32	2974	23545	20562	44108	26488	20594	47082
M7	0	0	0	219	2999	3218	219	2999	3218
M8	0	12	12	3	1014	1017	3	1026	1029
M9	0	0	0	103	1879	1982	103	1879	1982
M10	0	25	25	508	2561	3069	508	2586	3094
M11	0	0	0	0	0	0	0	0	0
M12	0	0	0	0	1529	1529	0	1529	1529
M13	0	0	0	0	0	0	0	0	0
M14	0	36	36	860	8068	8928	860	8104	8963
M15	0	0	0	2706	1248	3955	2706	1248	3955
M16	0	0	0	0	456	456	0	456	456
M17	0	8	8	2499	9353	11852	2499	9361	11860
M18	0	0	0	0	218	218	0	218	218
M19	0	0	0	118	2392	2510	118	2392	2510
M20	0	0	0	36	1384	1420	36	1384	1420
M21	0	0	0	0	1076	1076	0	1076	1076
M23	0	0	0	0	405	405	0	405	405
M24	0	6	6	5854	4186	10040	5854	4191	10046
M25	0	0	0	351	2355	2706	351	2355	2706
M26	0	0	0	715	932	1647	715	932	1647
<b>Manufacturing</b>	<b>2950</b>	<b>160</b>	<b>3110</b>	<b>45154</b>	<b>69854</b>	<b>115007</b>	<b>48104</b>	<b>70014</b>	<b>118118</b>
T1	0	0	0	3066	8470	11536	3066	8470	11536
T2	0	134	134	4201	5887	10088	4201	6021	10222
T3	0	0	0	0	0	0	0	0	0
T4	0	6	6	9062	45674	54736	9062	45680	54742
T5	5553	2174	7727	198236	148102	346337	203789	150276	354065
<b>Trading</b>	<b>5553</b>	<b>2314</b>	<b>7867</b>	<b>214565</b>	<b>208132</b>	<b>422698</b>	<b>220118</b>	<b>210446</b>	<b>430565</b>
S1	0	0	0	0	1999	1999	0	1999	1999
S2	168	0	168	17761	15891	33652	17929	15891	33819
S3	5079	1606	6685	54626	1039	55665	59705	2645	62350
S4	0	0	0	0	0	0	0	0	0
S5	0	0	0	0	0	0	0	0	0
S6	0	0	0	267	965	1232	267	965	1232
S7	0	92	92	1596	1422	3018	1596	1514	3110
S8	0	0	0	25	188	213	25	188	213
S9	0	0	0	233	308	541	233	308	541
S10	1744	670	2415	15292	6705	21997	17037	7376	24412
S11	32	0	32	6086	10876	16962	6118	10876	16993
S12	0	77	77	2794	5505	8300	2794	5583	8377
S13	91	166	257	7168	12772	19940	7259	12938	20196
S14	32	0	32	2596	10649	13245	2628	10649	13277
S15	454	441	894	52068	22557	74625	52522	22998	75520
<b>Other services</b>	<b>7599</b>	<b>3052</b>	<b>10651</b>	<b>160512</b>	<b>90877</b>	<b>251389</b>	<b>168112</b>	<b>93929</b>	<b>262040</b>
<b>All</b>	<b>16102</b>	<b>5526</b>	<b>21628</b>	<b>420231</b>	<b>368863</b>	<b>789094</b>	<b>436333</b>	<b>374389</b>	<b>810722</b>

**Table2: Distribution of Enterprises by ownership for each sector and enterprise type**

Type of Ownership		Rural			Urban			Rural + Urban		
		OAE	Estt.	All	OAE	Estt.	All	OAE	Estt.	All
<b>proprietary</b>	male	12191	5420	17611	347507	320786	668292	359698	326206	685904
	female	3761	8	3768	42698	14518	57215	46458	14525	60984
	transgender	0	0	0	56	0	56	56	0	56
	all	15952	5428	21380	390260	335303	725563	406212	340731	746943
<b>partnership with members of</b>	same household	150	43	193	29250	30166	59416	29401	30209	59610
	different households	0	43	43	721	3039	3760	721	3082	3802
<b>self help group</b>		0	0	0	0	0	0	0	0	0
<b>trusts</b>		0	13	13	0	112	112	0	125	125
<b>others</b>		0	0	0	0	242	242	0	242	242
<b>Total</b>		<b>16102</b>	<b>5526</b>	<b>21628</b>	<b>420231</b>	<b>368863</b>	<b>789094</b>	<b>436333</b>	<b>374389</b>	<b>810722</b>

**Table3: Distribution of Enterprises by ownership for each activity category**

Activity description	proprietary				partnership with members of		self help group	trusts	others	n.r.	all
	male	female	Trans-gender	all	same household	different households					
<b>Manufacturing</b>	86557	24093	0	110650	6750	718	0	0	0	0	118117
<b>Trading</b>	376485	20997	56	397537	32089	939	0	0	0	0	430565
<b>Other services</b>	222861	15895	0	238756	20771	2146	0	125	242	0	262040
<b>Total</b>	<b>685904</b>	<b>60984</b>	<b>56</b>	<b>746943</b>	<b>59610</b>	<b>3802</b>	<b>0</b>	<b>125</b>	<b>242</b>	<b>0</b>	<b>810722</b>

**Table 4: Distribution of Enterprises by Social Group of owner**

Social Group of owner/ partner	Rural			Urban			ALL		
	OAE	ESTT	ALL	OAE	ESTT	ALL	OAE	ESTT	ALL
Schedule tribe	0	8	8	1438	407	1845	1438	415	1853
Schedule Caste	1994	141	2135	46321	11255	57576	48315	11396	59711
Other Backward Classes	8622	2823	11445	130222	70374	200596	138844	73197	212041
Others	5486	2514	8000	240820	285211	526031	246306	287725	534031
Not Known	0	27	27	1429	1262	2691	1429	1289	2718
<b>Total</b>	<b>16102</b>	<b>5513</b>	<b>21615</b>	<b>420230</b>	<b>368509</b>	<b>788739</b>	<b>436332</b>	<b>374022</b>	<b>810354</b>

**Table 5: Distribution of Enterprises by nature of operation**

Activity Description	Rural				Urban				AL			
	Perennial	Seasonal	Casual	Total	Perennial	Seasonal	Casual	Total	Perennial	Seasonal	Casual	Total
Manufacturing	2957	0	153	3110	113361	65	1581	115007	116318	65	1734	118117
Trading	7867	0	0	7867	422465	112	122	422699	430332	112	122	430566
Other Services	10651	0	0	10651	250067	1000	321	251388	260718	1000	321	262039
<b>Total</b>	<b>21475</b>	<b>0</b>	<b>153</b>	<b>21628</b>	<b>785893</b>	<b>1177</b>	<b>2024</b>	<b>789094</b>	<b>807368</b>	<b>1177</b>	<b>2177</b>	<b>810722</b>

**Table 6: Percentage distribution of enterprises by type of location for sector and enterprises type**

Activity description		Rural			Urban			Rural+Urban		
		OAE	Estt	All	OAE	Estt	All	OAE	Estt	All
within household premises		4466	117	4583	61393	14487	75880	65859	14603	80463
outside household premises with fixed premises	permanent structure	4182	3899	8081	240277	353431	593708	244459	357330	601789
	temporary structure	16	0	16	3286	59	3346	3302	59	3361
	without any structure	14	0	14	10084	435	10519	10098	435	10533
without fixed premises	mobile market	272	585	857	14255	223	14478	14527	807	15335
	street vendors	7152	926	8078	90935	227	91163	98088	1153	99241
<b>Total</b>		<b>16102</b>	<b>5526</b>	<b>21628</b>	<b>420231</b>	<b>368863</b>	<b>789094</b>	<b>436333</b>	<b>374389</b>	<b>810722</b>

**Table 7: Distribution of enterprises by status of registration**

Activity Category	not registered under any authority	registered under any authority	total	by other acts/agency of registration						
				shops and establishments act	municipal corporation/ panchayat/ local body	vat/sales tax act	provident fund act	Employees State insurance Corporation Act	SEBI/ Stock Exchange	any other industry-specific Act/authority
<b>OAE</b>										
<b>M</b>	45625	2478	<b>48103</b>	886	847	660	0	0	0	86
<b>T</b>	167905	52213	<b>220118</b>	29768	14828	2844	0	0	0	4774
<b>S</b>	133993	34119	<b>168112</b>	8761	8532	331	0	148	0	16347
<b>All</b>	347523	88811	<b>436333</b>	39415	24207	3834	0	148	0	21207
<b>ESTT.</b>										
<b>M</b>	29463	40551	<b>70014</b>	24423	9604	6396	26	23	0	80
<b>T</b>	56012	154434	<b>210446</b>	93436	39718	16381	0	0	0	4899
<b>S</b>	36424	57504	<b>93929</b>	24233	19140	4387	342	0	0	9402
<b>All</b>	121900	252489	<b>374389</b>	142092	68462	27163	368	23	0	14382

**Table 8: Distribution of enterprises by number of months operated during last 365 days and by activity category**

Activity Category	OAE					Estt					Delhi				
	enterprises by number of months operated during last 365 days					enterprises by number of months operated during last 365 days					enterprises by number of months operated during last 365 days				
	0 to 3 months	4 to 6 months	7 to 9 months	more than 9 months	All	0 to 3 months	4 to 6 months	7 to 9 months	more than 9 months	All	0 to 3 months	4 to 6 months	7 to 9 months	more than 9 months	All
<b>M</b>	128	1006	2114	44856	48103	612	939	718	67745	70014	740	1944	2832	112601	118117
<b>T</b>	4098	1285	849	213887	220118	2683	795	1084	205884	210446	6781	2080	1932	419771	430565
<b>S</b>	2108	3263	2217	160522	168112	1308	952	1013	90656	93929	3416	4215	3231	251178	262040
<b>Total</b>	<b>6334</b>	<b>5554</b>	<b>5181</b>	<b>419265</b>	<b>436333</b>	<b>4603</b>	<b>2685</b>	<b>2815</b>	<b>364285</b>	<b>374389</b>	<b>10937</b>	<b>8239</b>	<b>7996</b>	<b>783550</b>	<b>810722</b>

**Table 9: Distribution of Enterprises by Number of hours normally worked in a day**

number of hours normally worked in a day	Rural			Urban			Delhi		
	OAE	ESTT	ALL	OAE	ESTT	ALL	OAE	ESTT	ALL
<4	153	0	153	3526	929	4455	3679	929	4608
4-7	2591	28	2619	51970	13698	65668	54561	13726	68287
8-11	9682	4665	14347	307838	286368	594206	317520	291033	608553
>11	3676	833	4509	56898	67868	124766	60574	68701	129275
<b>All</b>	<b>16102</b>	<b>5526</b>	<b>21628</b>	<b>420232</b>	<b>368863</b>	<b>789095</b>	<b>436334</b>	<b>374389</b>	<b>810722</b>

**Table 10: Distribution of Enterprises by growth status (over a period of last 3 years) for each activity category**

Activity description	Distribution of enterprises by growth status (over a period of last 3 years)									
	OAE					Estt.				
	expanding	stagnant	contracting	not applicable*	all	expanding	stagnant	contracting	not applicable*	all
<b>Manufacturing</b>	24845	10106	4406	8746	48103	35546	15169	7171	12128	70014
<b>Trading</b>	94050	59278	16950	49841	220118	132365	41138	11666	25277	210446
<b>Other services</b>	72916	48475	13048	33672	168112	52773	21842	6797	12517	93929
<b>All</b>	<b>191812</b>	<b>117860</b>	<b>34403</b>	<b>92258</b>	<b>436333</b>	<b>220684</b>	<b>78149</b>	<b>25634</b>	<b>49922</b>	<b>374389</b>

**Table 11: Distribution of enterprises perusing mixed activity by enterprise type for each activity category**

Activity description	no. of enterprises pursuing mixed activity during reference year		
	OAE	establishment	All
<b>RURAL</b>			
Manufacturing	0		0
Trading	654	0	654
Other Services	0	0	0
<b>Total</b>	<b>654</b>	<b>0</b>	<b>654</b>
<b>URBAN</b>			
Manufacturing	862	1236	2099
Trading	4605	3410	8016
Other Services	2780	854	3633
<b>Total</b>	<b>8247</b>	<b>5501</b>	<b>13748</b>
<b>ALL</b>			
Manufacturing	862	1236	2099
Trading	5260	3410	8670
Other Services	2780	854	3633
<b>Total</b>	<b>8902</b>	<b>5501</b>	<b>14402</b>

**Table 12: Number of enterprises maintaining bank account for each activity category**

Activity description	OAE				Estt.			
	maintaining bank account	having bank account in the name of			maintaining bank account	having bank account in the name of		
		owner	enterprise	both owner and enterprise		owner	enterprise	both owner and enterprise
Manufacturing	1082	992	47	43	71734	38257	22760	10717
Trading	3694	2946	713	36	288658	169311	95298	24049
Other services	8510	8485	25	0	125022	90957	25145	8920
<b>All</b>	<b>13286</b>	<b>12423</b>	<b>785</b>	<b>79</b>	<b>485414</b>	<b>298525</b>	<b>143203</b>	<b>43686</b>

**Table 13: Distribution of enterprises by type of access to toilet facility Number Per 1000 enterprises maintaining bank account for each activity category**

OAE						Estt.				
Activity Description	Distribution of enterprises by type of access to toilet facility									
	no toilet facility	having toilet facility				no toilet facility	having toilet facility			
		own & usable condition	own & not in usable condition	public toilet in the market place/building	Total		own & usable condition	own & not in usable condition	public toilet in the market place/building	Total
<b>Manufacturing activities</b>	10458	25919	411	11315	<b>48103</b>	14178	39258	1086	15493	<b>70014</b>
<b>Trading activities</b>	68238	60274	1587	90020	<b>220118</b>	34028	72171	3668	100580	<b>210446</b>
<b>Other services</b>	47313	36885	2775	81139	<b>168112</b>	11151	46984	1230	34564	<b>93929</b>
<b>Total</b>	<b>126009</b>	<b>123078</b>	<b>4772</b>	<b>182474</b>	<b>436333</b>	<b>59357</b>	<b>158412</b>	<b>5983</b>	<b>150637</b>	<b>374389</b>

**Table 14: Distribution of enterprises by provision for solid waste management for each activity category**

OAE							Estt							
Activity Description	Distribution of enterprises by provision for solid waste management toilet													
	has provision			no provision			Total	has provision			no provision			Total
	regularly collected by local/civic authority	disposes of in the waste treatment centre/authorized dumping ground	has own waste treatment system	throw it on the road side	leave it at the location of the enterprise/activity	not generating any solid waste		regularly collected by local/civic authority	disposes of in the waste treatment centre/authorized dumping ground	has own waste treatment system	throw it on the road side	leave it at the location of the enterprise/activity	not generating any solid waste	
<b>M</b>	26694	4687	367	8609	2673	5074	<b>48103</b>	41908	11594	4828	6362	1174	4149	<b>70014</b>
<b>T</b>	130546	30884	2343	30536	647	25163	<b>220118</b>	145490	39887	1642	6133	1	17293	<b>210447</b>
<b>S</b>	72577	21246	1407	6046	1313	65522	<b>168112</b>	61997	14307	511	2613	16	14485	<b>93929</b>
<b>All</b>	<b>229817</b>	<b>56818</b>	<b>4117</b>	<b>45190</b>	<b>4633</b>	<b>95759</b>	<b>436333</b>	<b>249395</b>	<b>65789</b>	<b>6980</b>	<b>15108</b>	<b>1190</b>	<b>35927</b>	<b>374389</b>



**Table 15: Distribution of enterprises by provision for liquid waste management for each activity category**

activity description	OAE					Estt				
	Distribution of enterprises by provision for liquid waste management									
	has own liquid waste treatment system	no provision		not generating any liquid waste	all	has own liquid waste treatment system	no provision		not generating any liquid waste	all
liquid waste is disposed off in the usual waste water discharge		liquid waste is discharged on road/nalla/river	liquid waste is disposed off in the usual waste water discharge				liquid waste is discharged on road/nalla/river			
<b>Manufacturing</b>	258	4033	4443	39370	<b>48103</b>	2777	3377	11778	52081	<b>70014</b>
<b>Trading</b>	2263	12137	33417	172301	<b>220118</b>	1101	10352	10919	188075	<b>210446</b>
<b>Other services</b>	1681	15715	34311	116405	<b>168112</b>	3398	17543	18270	54718	<b>93929</b>
<b>All</b>	4201	31885	72171	328077	<b>436333</b>	7277	31272	40966	294874	<b>374389</b>

**Table 16: Estimated Number of workers by enterprises type and sector for each activity category**

Activity description	Number of workers								
	Rural			Urban			Delhi		
	OAE	Estt.	All	OAE	Estt.	All	OAE	Estt.	All
M1	0	0	0	0	0	0	0	0	0
M2	14	337	351	10397	14366	24763	10411	14702	25114
M3	0	0	0	0	456	456	0	456	456
M4	0	0	0	0	0	0	0	0	0
M5	0	0	0	4008	21003	25011	4008	21003	25011
M6	2943	144	3087	26599	93525	120124	29541	93670	123211
M7	0	0	0	737	18851	19589	737	18851	19589
M8	0	354	354	6	2173	2179	6	2527	2532
M9	0	0	0	206	11022	11228	206	11022	11228
M10	0	49	49	954	14902	15856	954	14951	15906
M11	0	0	0	0	0	0	0	0	0
M12	0	0	0	0	10643	10643	0	10643	10643
M13	0	0	0	0	0	0	0	0	0
M14	0	394	394	1429	50069	51499	1429	50463	51893
M15	0	0	0	4839	5307	10146	4839	5307	10146
M16	0	0	0	0	2369	2369	0	2369	2369
M17	0	31	31	3457	42882	46339	3457	42913	46370
M18	0	0	0	0	2098	2098	0	2098	2098
M19	0	0	0	190	13451	13641	190	13451	13641
M20	0	0	0	36	9022	9057	36	9022	9057
M21	0	0	0	0	8036	8036	0	8036	8036
M22	0	0	0	0	2468	2468	0	2468	2468
M23	0	0	0	0	2468	2468	0	2468	2468
M24	0	139	139	6276	17573	23850	6276	17713	23989
M25	0	0	0	701	12783	13484	701	12783	13484
M26	0	0	0	981	4029	5010	981	4029	5010
<b>Manufacturing</b>	<b>2957</b>	<b>1449</b>	<b>4405</b>	<b>60817</b>	<b>357028</b>	<b>417846</b>	<b>63774</b>	<b>358477</b>	<b>422251</b>
T1	0	0	0	4409	30291	34700	4409	30291	34700
T2	0	402	402	5081	18219	23300	5081	18621	23702
T3	0	0	0	0	0	0	0	0	0
T4	0	367	367	24123	173925	198048	24123	174292	198415
T5	5744	5014	10757	246477	442133	688610	252221	447146	699367
<b>Trading</b>	<b>5744</b>	<b>5783</b>	<b>11527</b>	<b>280090</b>	<b>664567</b>	<b>944657</b>	<b>285834</b>	<b>670350</b>	<b>956184</b>
S1	0	0	0	0	12317	12317	0	12317	12317
S2	182	0	182	24904	71281	96185	25086	71281	96367
S3	5372	3307	8679	54940	5844	60783	60311	9151	69463
S4	0	0	0	0	0	0	0	0	0
S5	0	0	0	0	0	0	0	0	0
S6	0	0	0	267	4741	5007	267	4741	5007
S7	0	92	92	2159	3646	5805	2159	3738	5897
S8	0	0	0	50	941	991	50	941	991
S9	0	0	0	279	1067	1346	279	1067	1346
S10	1744	1341	3085	18347	16141	34488	20091	17482	37573
S11	32	0	32	6736	40591	47327	6768	40591	47359
S12	0	270	270	3352	22988	26340	3352	23258	26610
S13	91	1151	1241	9638	70018	79656	9728	71169	80897
S14	32	0	32	3181	51004	54185	3212	51004	54216
S15	454	1030	1484	71106	71102	142207	71560	72132	143691
<b>Other Services</b>	<b>7906</b>	<b>7191</b>	<b>15098</b>	<b>194957</b>	<b>371679</b>	<b>566637</b>	<b>202864</b>	<b>378871</b>	<b>581734</b>
<b>All</b>	<b>16607</b>	<b>14423</b>	<b>31030</b>	<b>535865</b>	<b>1393275</b>	<b>1929140</b>	<b>552472</b>	<b>1407698</b>	<b>1960169</b>

**Table 17: Estimated number of workers by nature of employment and gender for each activity category**

<b>OAE</b>																		
Activity description	number of workers																	
	working owners			formal hired workers			informal hired workers			helper/apprentice			other workers			ALL		
	female	male	all	female	male	all	female	male	all	female	male	all	female	male	all	female	male	all
<b>Manufacturing</b>	22044	29805	51849	0	8	8	0	2045	2045	510	469	979	2359	6534	8893	24914	38861	<b>63774</b>
<b>Trading</b>	27307	214887	242193	0	0	0	10232	2386	12618	3259	8157	11416	2737	16870	19607	43534	242299	<b>285834</b>
<b>Other services</b>	12899	174043	186942	0	0	0	1909	1614	3523	1770	1787	3557	1053	7788	8841	17631	185232	<b>202864</b>
<b>All</b>	<b>62250</b>	<b>418735</b>	<b>480985</b>	<b>0</b>	<b>8</b>	<b>8</b>	<b>12141</b>	<b>6045</b>	<b>18186</b>	<b>5540</b>	<b>10412</b>	<b>15952</b>	<b>6149</b>	<b>31192</b>	<b>37341</b>	<b>86079</b>	<b>466392</b>	<b>552472</b>
<b>ESTT</b>																		
Activity description	number of workers																	
	working owners			formal hired workers			informal hired workers			helper/apprentice			other workers			ALL		
	female	male	all	female	male	all	female	male	all	female	male	all	female	male	all	female	male	all
<b>Manufacturing</b>	4297	72009	76306	410	10731	11141	23756	240400	264156	106	2000	2105	1995	2774	4768	30564	327913	<b>358477</b>
<b>Trading</b>	4274	229379	233653	666	10356	11023	13124	386489	399613	5681	7585	13266	982	11813	12795	24728	645622	<b>670350</b>
<b>Other services</b>	13899	90645	104544	12518	17114	29632	51451	180716	232167	2206	5588	7794	1595	3139	4734	81668	297202	<b>378871</b>
<b>All</b>	<b>22471</b>	<b>392033</b>	<b>414504</b>	<b>13594</b>	<b>38202</b>	<b>51796</b>	<b>88331</b>	<b>807605</b>	<b>895936</b>	<b>7993</b>	<b>15172</b>	<b>23165</b>	<b>4572</b>	<b>17725</b>	<b>22297</b>	<b>136960</b>	<b>1270738</b>	<b>1407698</b>

**Table 18: Annual emoluments per hired worker and estimated number of hired workers for each broad activity category and sector**

Activity Category	emoluments per hired worker (Rs.)			estimated number of hired workers		
	rural	urban	Delhi	rural	urban	Delhi
<b>Manufacturing</b>	151832424	31740063655	<b>31891896080</b>	1281	276069	<b>277350</b>
<b>Trading</b>	364625807	46413037071	<b>46777662878</b>	3433	419854	<b>423287</b>
<b>Other services</b>	484085925	34545560479	<b>35029646404</b>	4326	260997	<b>265322</b>
<b>All</b>	<b>1000544157</b>	<b>112698661206</b>	<b>113699205362</b>	<b>9041</b>	<b>956919</b>	<b>965960</b>

**Table 19: Market value of fixed assets per enterprise (in Rs) as on the date of survey by type of asset for each activity category**

Activity description	market value (Rs.) of fixed assets			net addition to fixed assets (Rs.) during last 365 days/last year
	owned	hired	all	
<b>Rural/OAE</b>				
Manufacturing	112917	99395	113586	276
Trading	99755	109553	108679	317
Other services	392296	96434	398339	541
All	240230	102753	246281	415
<b>Rural/Estt.</b>				
Manufacturing	1492969	679547	1876050	19127
Trading	584183	338813	703767	12482
Other services	574740	280111	606669	8216
All	605294	347043	684101	10319
<b>Urban/OAE</b>				
Manufacturing	123599	175531	157059	4593
Trading	202274	254053	273598	3163
Other services	206169	152128	250333	2329
All	195262	206207	252189	2998
<b>Urban/Estt.</b>				
Manufacturing	947252	676907	1260796	24503
Trading	607686	552833	787331	8530
Other services	1060446	574025	1271547	26118
All	783493	588467	996290	15888
<b>Rural/All</b>				
Manufacturing	183962	574898	204317	1246
Trading	242245	257101	283718	3895
Other services	444575	173980	458035	2740
All	333507	241894	358148	2946
<b>Urban/All</b>				
Manufacturing	622988	572675	827452	16686
Trading	401978	411950	526555	5805
Other services	516887	323869	619500	10928
All	470697	409660	600020	9023
<b>Combined</b>				
Manufacturing	609772	201274	811046	16280
Trading	398520	123598	522118	5771
Other services	262040	102019	612936	10596
All	465627	127940	593567	8861

**Table 20: Value of key characteristics per enterprise for each activity category**

Activity description	average number of workers(0.0)					fixed assets(Rs)			outstanding loans (Rs.)	total receipts (rs)	total operating expenses (rs)	GVA (rs.)
	male	female	all	hired	non-hired	owned	hired	net addition				
<b>Rural/OAE</b>												
<b>Manufacturing</b>	53	2904	2957	0	2957	112917	669	276	81	234643	78036	156608
<b>Trading</b>	4887	857	5744	0	5744	99755	8923	317	4456	776879	608170	168710
<b>Other services</b>	7899	7	7906	164	7742	392296	6042	541	138429	338532	121353	211960
<b>All</b>	12839	3768	16607	164	16443	240230	6052	415	66882	470672	281305	186905
<b>Rural/Estt.</b>												
<b>Manufacturing</b>	1116	333	1449	1281	167	1492969	383081	19127	122991	17993182	16009597	1942170
<b>Trading</b>	5703	81	5783	3433	2350	584183	119584	12482	1203	2954377	2211725	738331
<b>Other services</b>	6605	586	7191	4162	3029	574740	31930	8216	58178	777229	234101	537453
<b>All</b>	13424	999	14423	8877	5546	605294	78806	10319	36198	2187612	1519207	662261
<b>Urban/OAE</b>												
<b>Manufacturing</b>	38808	22010	60817	2053	58764	123599	33460	4593	1299	352132	177067	174880
<b>Trading</b>	237412	42678	280090	12618	267473	201916	71681	3163	8355	1487835	1213487	270860
<b>Other services</b>	177333	17624	194989	3359	191630	204195	46137	2329	5832	311221	117930	190848
<b>All</b>	453553	82312	535897	18030	517867	194372	57818	2998	6633	916383	683664	229985
<b>Urban/Estt.</b>												
<b>Manufacturing</b>	326798	30231	357028	274016	83013	942992	317804	24503	56889	2858363	1817449	1021539
<b>Trading</b>	639920	24648	664635	407236	257399	607107	180223	8530	43162	6739277	5722853	966239
<b>Other services</b>	290597	81083	371758	257637	114121	1060446	211101	26151	27118	1791812	759768	1024054
<b>All</b>	1257314	135961	1393421	938889	454532	782405	213885	15896	41809	4785420	3760510	990955

**Table 21: Distribution of the GVA by type of Factor Income for each activity category (other than enterprises engaged in financial activities)**

Activity description	GVA (Rs.) by product approach	GVA (Rs.) by factor income approach	percentage distribution of GVA by type of factor income			
			emoluments	rents payable	interest payable	net surplus
<b>Rural/OAE</b>						
Manufacturing	461946169	462204864	18847475	148601	0	443208788
Trading	936855528	941929282	21194112	8218439	6173288	906343443
Other services	1610745075	1608581861	93536881	2807103	19123618	1493114260
All	3009546772	3012716007	133578467	11174143	25296906	2842666490
<b>Rural/Estt.</b>						
Manufacturing	310923866	311546755	155149060	13109849	5080581	138207265
Trading	1708518586	1684369175	369765156	66123929	333960	1248146130
Other services	1640363931	1634304467	493342674	28885676	29010134	1083065982
All	3659806383	3630220397	1018256890	108119454	34424675	2469419377
<b>Urban/OAE</b>						
Manufacturing	7896459256	7811700751	342242838	286769158	11666888	7179864172
Trading	58117067598	57115277026	2038047211	3333107438	261406734	51482715643
Other services	30633455598	30307345703	1195633573	1418643451	133662173	27559406506
All	96646982452	95234323479	3575923622	5038520047	406735795	86221986322
<b>Urban/Estt.</b>						
Manufacturing	71358254148	70863737082	32323563346	3359132632	824320016	34356721087
Trading	201105651572	199789825905	47938990229	8205032129	2386497849	141259305698
Other services	93062573430	92367647954	35228408179	3461702496	358898144	53318639134
All	365526479150	363021210941	115490961754	15025867257	3569716010	228934665920
<b>Rural/All</b>						
Manufacturing	772870035	773751619	173996535	13258450	5080581	581416053
Trading	2645374114	2626298457	390959267	74342369	6507248	2154489573
Other services	3251109006	3242886328	586879555	31692779	48133753	2576180242
All	6669353155	6642936403	1151835356	119293597	59721582	5312085868
<b>Urban/All</b>						
Manufacturing	79254713404	78675437833	32665806184	3645901791	835986905	41536585260
Trading	259222719170	256905102931	49977037440	11538139567	2647904583	192742021342
Other services	123696029028	122674993657	36424041752	4880345947	492560317	80878045640
All	462173461602	458255534420	119066885376	20064387305	3976451804	315156652242
<b>Combined</b>						
Manufacturing	80027583440	79449189451	32839802719	3659160241	841067486	42118001313
Trading	261868093284	259531401387	50367996707	11612481935	2654411831	194896510914
Other services	126947138034	125917879984	37010921307	4912038726	540694069	83454225882
All	468842814757	464898470823	120218720732	20183680902	4036173386	320468738109

**Table 22: Annual value of operating expenses per enterprise for each activity category**

Activity description	per enterprise annual value of expenditure (Rs.)										
	principal operating expenses	other expenses									total
		electricity charges	fuel & lubricant	raw material for own const.	minor repair & maintenance	Travelling, freight & cartage expenses	communication expenses	banking charges	Insurance charges	other expenses	
<b>Rural/OAE</b>											
Manufacturing	62452	2619	533	0	5480	2508	1879	331	190	2044	78036
Trading	586951	1960	3917	0	2702	4250	2968	31	916	4475	608170
Other services	113031	780	1352	0	1166	268	2525	65	526	1639	121353
<b>All</b>	<b>267205</b>	<b>1524</b>	<b>2087</b>	<b>0</b>	<b>2486</b>	<b>2052</b>	<b>2559</b>	<b>102</b>	<b>599</b>	<b>2691</b>	<b>281305</b>
<b>Rural/Estt.</b>											
Manufacturing	15351487	206214	52295	0	26866	302218	13274	80	9951	47211	16009597
Trading	2094551	19811	12337	0	20157	16899	6168	1436	2646	37721	2211725
Other services	186488	8513	5737	0	9072	455	6486	1399	728	15221	234101
<b>All</b>	<b>1424781</b>	<b>18971</b>	<b>9849</b>	<b>0</b>	<b>14229</b>	<b>16083</b>	<b>6550</b>	<b>1376</b>	<b>1798</b>	<b>25569</b>	<b>1519207</b>
<b>Urban/OAE</b>											
Manufacturing	145613	7471	6390	0	4077	3734	2038	14	544	7185	177067
Trading	1168715	7541	5870	0	3481	12629	2970	72	567	11642	1213487
Other services	85493	5512	6505	0	2907	1539	2597	67	432	12877	117929
<b>All</b>	<b>645034</b>	<b>6758</b>	<b>6169</b>	<b>0</b>	<b>3326</b>	<b>7437</b>	<b>2727</b>	<b>64</b>	<b>513</b>	<b>11635</b>	<b>683663</b>
<b>Urban/Estt.</b>											
Manufacturing	1587202	88468	23739	0	20892	44397	9513	291	3617	39331	1817449
Trading	5558799	27349	18408	0	12022	54281	9526	628	4150	37690	5722853
Other services	591897	41253	23909	0	16910	11657	11508	416	3294	58923	759768
<b>All</b>	<b>3582980</b>	<b>42349</b>	<b>20773</b>	<b>0</b>	<b>14906</b>	<b>41908</b>	<b>10012</b>	<b>512</b>	<b>3838</b>	<b>43232</b>	<b>3760510</b>
<b>Rural/All</b>											
Manufacturing	849525	13100	3198	0	6581	17937	2465	318	693	4369	898186
Trading	1030396	7210	6393	0	7836	7971	3909	444	1425	14254	1079839
Other services	134080	2996	2609	0	3432	322	3660	447	584	5531	153660
<b>All</b>	<b>562977</b>	<b>5982</b>	<b>4070</b>	<b>0</b>	<b>5486</b>	<b>5637</b>	<b>3579</b>	<b>427</b>	<b>906</b>	<b>8537</b>	<b>597601</b>
<b>Urban/All</b>											
Manufacturing	1021212	56667	16927	0	14290	28432	6578	182	2411	26710	1173410
Trading	3330351	17294	12044	0	7686	33138	6198	346	2331	24468	3433857
Other services	268557	18432	12797	0	7969	5197	5818	193	1467	29523	349953
<b>All</b>	<b>2018380</b>	<b>23395</b>	<b>12995</b>	<b>0</b>	<b>8739</b>	<b>23551</b>	<b>6132</b>	<b>273</b>	<b>2067</b>	<b>26405</b>	<b>2121938</b>
<b>Combined</b>											
Manufacturing	1016692	55520	16566	0	14087	28156	6470	186	2365	26122	1166164
Trading	3288328	17110	11941	0	7689	32678	6156	348	2315	24281	3390845
Other services	263091	17805	12383	0	7785	4998	5730	203	1431	28547	341974
<b>All</b>	<b>1979553</b>	<b>22931</b>	<b>12757</b>	<b>0</b>	<b>8652</b>	<b>23073</b>	<b>6064</b>	<b>278</b>	<b>2036</b>	<b>25928</b>	<b>2081273</b>

**Table 23: Annual value of receipts per enterprise for each category**

Activity description	per enterprise annual value of receipts (Rs.)							
	principal receipts	other expenses					other receipts	total
		service provided	Own Const.	grants from				
				government	non-govt.			
<b>Rural/OAE</b>								
Manufacturing	234588	0	0	0	0	55	234643	
Trading	767954	6373	0	0	0	2552	776879	
Other services	326705	11539	0	0	0	288	338532	
<b>All</b>	<b>462003</b>	<b>7644</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1026</b>	<b>470672</b>	
<b>Rural/Estt.</b>								
Manufacturing	17993182	0	0	0	0	0	17993182	
Trading	2954363	0	0	0	0	15	2954377	
Other services	762080	2611	0	0	0	12538	777229	
<b>All</b>	<b>2179239</b>	<b>1442</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>6931</b>	<b>2187612</b>	
<b>Urban/OAE</b>								
Manufacturing	350255	1788	0	0	0	90	352132	
Trading	1480268	1461	0	0	0	6106	1487835	
Other services	278270	27805	0	0	0	5145	311221	
<b>All</b>	<b>899731</b>	<b>11558</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5093</b>	<b>916383</b>	
<b>Urban/Estt.</b>								
Manufacturing	2850894	4880	0	0	0	2590	2858363	
Trading	6717702	16171	0	0	0	5404	6739277	
Other services	1694829	66667	0	2567	2157	30095	1796315	
<b>All</b>	<b>4747939</b>	<b>26473</b>	<b>0</b>	<b>632</b>	<b>531</b>	<b>10954</b>	<b>4786530</b>	
<b>Rural/All</b>								
Manufacturing	1148794	0	0	0	0	52	1148846	
Trading	1411065	4498	0	0	0	1806	1417369	
Other services	451460	8981	0	0	0	3798	464239	
<b>All</b>	<b>900773</b>	<b>6059</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2535</b>	<b>909367</b>	
<b>Urban/All</b>								
Manufacturing	1869105	3666	0	0	0	1608	1874379	
Trading	4059132	8704	0	0	0	5761	4073596	
Other services	790354	41854	0	928	780	14164	848080	
<b>All</b>	<b>2698580</b>	<b>18530</b>	<b>0</b>	<b>296</b>	<b>248</b>	<b>7833</b>	<b>2725487</b>	
<b>Combined</b>								
Manufacturing	1850141	3569	0	0	0	1567	1855277	
Trading	4010747	8627	0	0	0	5688	4025063	
Other services	776579	40518	0	890	748	13743	832477	
<b>All</b>	<b>2650618</b>	<b>18198</b>	<b>0</b>	<b>288</b>	<b>242</b>	<b>7691</b>	<b>2677037</b>	



**Table 24: key characteristics of NPIs for each category**

Activity description	estimated number of workers		enterprises registered under any act or authority	% of NPI's registered under any act or authority	average emoluments per hired worker (Rs.)	value of fixed assets owned (Rs.)	total expenses (Rs.)	total receipts/output (Rs.)	value added (Rs.)
	hired	all							
<b>Rural</b>									
Manufacturing	0	0	0	0	0	0	0	0	0
Trading	0	92	0	0	0	48287369	128986140	156300624	27314484
Other services	0	0	0	0	0	0	0	0	0
All	0	92	0	0	0	48287369	128986140	156300624	27314484
<b>Urban</b>									
Manufacturing	593	906	36	15	861801	135293518	290231984	503673161	190175498
Trading	2108	3136	417	40	4953007	613980302	2203750937	2823985617	615651697
Other services	15870	20393	2423	70	16587600	10233355585	4308795939	12198205731	7868269490
All	18571	24435	2875	61	22402408	10982629404	6802778860	15525864509	8674096686
<b>Combined</b>									
Manufacturing	593	906	36	15	861801	135293518	290231984	503673161	190175498
Trading	2108	3228	417	37	4953007	662267671	2332737076	2980286241	642966182
Other services	15870	20393	2423	70	16587600	10233355585	4308795939	12198205731	7868269490
All	18571	24527	2875	60	22402408	11030916773	6931764999	15682165133	8701411171

**Table 25: Number of ICT using enterprises by type of ICT infrastructure during the last 365 days/last year for each activity category (only for enterprises with 10 or more workers)**

Activity description	number of internet using by type of use												
	receiving order for goods and services	placing order for goods and services	sending and receiving emails	telephoning over internet/VoIP/Video conferencing	getting information about		interacting with general government organizations	internet banking	accessing other financial services	providing customer services	delivering products online	Recruitment	staff training
					goods and services	general government organizations							
<b>Rural</b>													
<b>Manufacturing</b>	11	7	11	7	7	7	0	4	7	0	0	7	0
<b>Trading</b>	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Other services</b>	4	4	17	4	17	17	4	17	17	4	0	0	0
<b>All</b>	<b>15</b>	<b>11</b>	<b>28</b>	<b>11</b>	<b>24</b>	<b>24</b>	<b>4</b>	<b>21</b>	<b>24</b>	<b>4</b>	<b>0</b>	<b>7</b>	<b>0</b>
<b>Urban</b>													
<b>Manufacturing</b>	1217	869	1469	1320	1516	1175	134	1184	134	470	30	137	126
<b>Trading</b>	792	1079	1136	12	1185	170	12	1351	635	150	239	7	7
<b>Other services</b>	973	680	1895	549	1477	1332	831	1742	1072	1043	116	758	1162
<b>All</b>	<b>2982</b>	<b>2627</b>	<b>4500</b>	<b>1881</b>	<b>4177</b>	<b>2677</b>	<b>977</b>	<b>4277</b>	<b>1841</b>	<b>1663</b>	<b>384</b>	<b>903</b>	<b>1296</b>
<b>Combined</b>													
<b>Manufacturing</b>	1228	876	1480	1327	1523	1182	134	1188	141	470	30	144	126
<b>Trading</b>	792	1079	1136	12	1185	170	12	1351	635	150	239	7	7
<b>Other services</b>	977	683	1912	553	1493	1349	835	1759	1089	1047	116	758	1162
<b>All</b>	<b>2997</b>	<b>2638</b>	<b>4528</b>	<b>1892</b>	<b>4201</b>	<b>2700</b>	<b>980</b>	<b>4297</b>	<b>1864</b>	<b>1667</b>	<b>384</b>	<b>910</b>	<b>1296</b>

# **ANNEXURE B**

RURAL *
URBAN

**GOVERNMENT OF INDIA**  
**NATIONAL SAMPLE SURVEY OFFICE**  
**SOCIO - ECONOMIC SURVEY**  
**SEVENTY-THIRD ROUND: JULY 2015 - JUNE 2016**  
**SCHEDULE 2. 34 : UNINCORPORATED NON-AGRICULTURAL**  
**ENTERPRISES (EXCLUDING CONSTRUCTION )**

CENTRAL *
STATE

[0] descriptive identification of sample enterprise	
1. state/u.t:	6. ward/investigator unit:
2. district:	7. enumeration block (EB)/ UFS block:
3. sub-district:	8. name of owner:
4. village/ town name:	9. name of informant:
5. serial no. of hamlet: (col-1,block-4.1)#	10. name and address of the enterprise:

\*tick mark (√) may be put in appropriate place. # refers to schedule 0.0.

[1] identification of sample enterprise									
item no.	item	code			item no.	item	code		
1	serial no. of sample FSU				12	FOD sub-region			
2	round number	7	3		13	segment number (1 / 2 / 9)			
3	schedule number	2	3	4					
4	sample (central-1, state-2)				14	second stage stratum (SSS)			
5	sector (rural - 1, urban - 2)								
6	NSSregion				15	sample enterprise number			
7	district				16	response code			
8	stratum								
9	sub-stratum								
10	sub - round				17	informant code			
11	sub - sample				18	survey code			
					19	reason for original sample not surveyed (code) [for entry in item 18 = 2 & 3]			

**codes for block 1**

- item 16 : **response code** : informant co-operative and capable - 1, informant co-operative but not capable - 2, informant busy but responded - 3, informant reluctant - 4, others - 9
- item 17 : **informant code** : owner – 1, manager – 2, others – 9
- item 18 : **survey code**: original enterprise surveyed - 1, substitute surveyed - 2, casualty - 3
- item 19 : **reason for original sample not surveyed**: informant busy – 1, informant not available – 2, informant non-cooperative – 3, others – 9

<b>item 204: type of ownership</b>			
proprietary (male) .....	1	partnership between members not all from the same household .....	5
proprietary(female) .....	2	Self- Help Group.....	6
proprietary (transgender).....	3	Trusts .....	7
partnership with members of the same household .....	4	others.....	9
<b>item 210: location of the enterprise</b>			
<u>within</u> household premises .....	1	with fixed premises but without any structure	4
<u>outside</u> household premises:		mobile market .....	5
with fixed premises and with permanent structure .....	2	without fixed premises(street vendors) ...	6
with fixed premises and with temporary structure/kiosk/stall .....	3		
<b>items 221&amp; 222: nature of problems faced by the enterprise</b>			
erratic power supply/ power cuts.....	1	non-recovery of financial dues .....	5
shortage of raw materials.....	2	non-availability of labour as and when needed.....	6
shrinkage /fall of demand .....	3	non-availability of skilled labour as and when needed.....	7
non-availability / high cost of credit .....	4	labour disputes and related problems .....	8
		others <i>specify</i> .....	9
<b>item 224&amp; 225 : type of assistance received from the government *</b>			
financial loan .....	1	marketing .....	5
subsidy .....	2	raw material.....	6
machinery/ equipment.....	3	others .....	9
skill development.....	4		

\* This will not include any assistance/ subsidy which are passed on to the consumers.

**Note 1: Mixed activity:** An enterprise at a location will be treated as pursuing mixed activity if it carries out a no. of activities simultaneously and accounts for those activities are not separable. The NIC-2008 Codes for those activities **should be different at 2-digit level**. If accounts are separable, each activity will be treated as separate enterprise.

**Note 2: Major activity:** It is that activity which yields maximum income (1<sup>st</sup>) / turnover (2<sup>nd</sup>) /employment (3<sup>rd</sup>) (in the order mentioned)

[2] particulars of operation and background information		item no.	code			
(1)		(2)	(3)			
whether pursuing mixed activity? (yes – 1, no – 2)		201				
major activity during the reference month code (5-digitd as per NIC 2008) special codes: chit fund – 64193, Self-Help Group (engaged in financial intermediation) – 64309, investment club – 64921, activities of private moneylenders – 64929		202				
description of the major activity during the reference month:						
if 'yes' in item 201	principal minor activity during the reference month code (5-digitd as per NIC 2008) [ 1 <sup>st</sup> 2 digits of item 202 ≠ 1 <sup>st</sup> 2 digits of item 203]	203				
type of ownership (code)		204				
whether non-profit institution? (yes, major receipts coming from donation/ grants-1, yes, major receipts <b>not</b> coming from donation/ grants-2,no-3)		205				
if code 1 in item 205	whether non-profit institution serving households (NPISH)? (yes-1, no-2)	206				
if item 204 is '1' to '5'	social group of the owner / major partner (ST-1, SC-2, OBC-3, Others-4, not known-9)	207				
whether the enterprise maintain any bank account/ post office savings bank account ? yes, in owner's name – 1, yes, in enterprise's name -2, yes, both in owner's name and enterprise's name -3, no- 4		208				
number of other economic activities taken up by the entrepreneur during the last 365 days (applicable if entry in item 204 is '1' to '5')		209				
location of the enterprise (code)		210				
enterprise type during the last 365 days (Own Account Enterprise-1, Establishment-2)		211				
year of initial operation under the current owner		212				
nature of operation (perennial – 1, seasonal – 2, casual – 3)		213				
number of months operated during the last 365 days (in whole numbers)		214				
number of hours the enterprise normally worked in a day during the reference month(in whole numbers)		215				
whether accounts maintained ? (yes-1, no-2)		216				
if 'yes' in item 216, whether data collected from books of accounts? (yes-1, no-2)		217				
didthe enterprise use computer (s) during the last 365 days? (yes- 1, no -2)		218				
did the enterprise use internet during the last 365 days? (yes- 1, no -2)		219				
did the enterprise face any problem in its operation during last 365 days? (yes-1, no-2)		220				
if 'yes' in item 220, nature of problems faced in its operation during the last 365 days (at most two codes in order of severity of problems)	most severe problem	221				
	next severe problem	222				

[2] particulars of operation and background information			item no.	code
(1)			(2)	(3)
did the enterprise receive any assistance from the government during last three years? (yes-1, no-2)			223	
if 'yes' in item 223, types of assistance received from the government during the last three years (code) (at most 2 codes may be given in descending order of the importance of assistance)	most important assistance		224	
	next important assistance		225	
status of the enterprise over the last 3 years (expanding – 1, stagnant – 2, contracting – 3, operated for less than three years – 9)			226	
whether registered under any act/authority? (yes-1, no-2)			227	
<b>type of registration of the enterprise</b>				
if 'yes' in item 227	whether registered under (yes-1, no-2)	Shops and Establishment Act?	228	
		Municipal Corporation/ Panchayats/ Local Body?	229	
		Vat /Sales Tax Act?	230	
		Provident Fund Act?	231	
		Employees State Insurance Corporation Act?	232	
		Registered with SEBI/ Stock Exchange?	233	
	any other industry-specific Act/ Authority (give at most three codes from any of 01 – 18 or 99 as per the code list)		234	
			235	
		236		
does the enterprise undertake any work on contract basis (yes -1, no -2)			237	
if 'yes' in item 237	type of contract (code)		238	
	for manufacturing enterprises only	equipment supplied by (code)	239	
		raw material supplied by (code)	240	
		design specified by contractor (yes -1, no- 2)	241	
if 'no' in item 237, does the enterprise provide any manufacturing services? (yes -1, no -2)			242	
is the enterprise a franchisee outlet? (yes -1, no -2)			243	
does the enterprise have access to toilet facility? (code)			244	
does the enterprise have provision for solid waste management? (code)			245	
does the enterprise have provision for liquid waste management? (code)			246	

<b>items 234-236 : industry-specific act/authority of registration</b>			
State directorate of industries .....	1	District Supply and Marketing Society.....	10
Khadi and Village Industries Commission/ Board.....	2	Technical Consultancy Services Organisations/Council for Technological Upgradation.....	11
Development Commissioner of Handicraft /handloom.....	3	Sale tax.....	12
Commodity boards (Cair board, Silk Board, Jute commissioner, etc.).....	04	Indian Charitable Act .....	13
Directorate of education /AICTE/NCTE .....	5	Cinematograph act .....	14
Pollution Control Board.....	6	Money lender's Act (including the State variants). .....	15
Directorate General of Foreign Trade/ other export promotion agencies.....	07	Societies Registration Act, 1860 (including the State variants).....	16
State Financial Corporation/Industrial Cooperative Banks/Industrial Development Banks/ SIDBI.....	08	Indian Trust Act/Public Trust Act (including the State variants).....	17
		Employees Provident Fund Organisation (EPFO).....	18
Food safety and Standards Act, 2006.....	9	Others.....	99
<b>item 238: type of contract</b>			
working solely for other enterprise/contractor	1	mainly for customers but also on contract.....	3
mainly on contract but also for other customers	2		
<b>item 239 and 240 : equipment/ raw materials supplied by</b>			
self- procured .....	1	Both.....	3
supplied by the master unit/ contractor.....	2		
<b>item 244: toilet facility</b>			
yes and in usable condition.....	1	yes, public toilet in the market place/building....	3
yes but not in usable condition.....	2	no toilet.....	4
<b>item 245: provision for solid waste management</b>			
yes, regularly collected by local/civic authority	1	no, throw it on the roadside.....	4
yes, disposes off in the waste treatment centre/ authorized dumping ground.....	2	no, leave it at the location of enterprise/activity..	5
yes, has own waste treatment system.....	3	enterprise does not generate solidwaste.....	6
<b>item 246: provision for liquid waste management</b>			
yes, has own liquid waste treatment system.....	1	no, liquid waste is discharged on the road/ nalla/river.....	3
no, liquid waste is disposed off in the usual waste water discharge .....	2	enterprise does not generate liquid waste.....	4



**[2.1] activities pursued by the enterprise during last 30 days ended on ..... / last calendar month ended on .....**

broad description of the activity	item no.	whether pursued the activity during the reference period (yes-1, no-2)
(1)	(2)	(3)
manufacturing, non-captive power generation and transmission activities (NIC-08 Div. 10-33, 01632, 35103, 35105, 35106, 35107, 35109)	251	
trading activity (NIC-08 Div. 45-47)	252	
transportation , travel agency, tour operator activities (NIC-08 Groups 492, Div. 50,79, excluding 49212, 49213)	253	
warehousing and support activities of transportation (NIC-08 Div.52)	254	
postal and courier activities (NIC-08 Div. 53)	255	
accommodation and food service activities (NIC-08 Div. 55-56)	256	
information and communications activities (NIC-08 Div. 58-63)	257	
financial activities (NIC-08 Groups 643, 649, 6612, 6619, 6621, 663 including special codes for chit fund – 64193, self-help group – 64309, investment club – 64921, activities of private moneylenders – 64929)	258	
real estate, rental and leasing activities (NIC-08 Div. 68, 77 excluding 774)	259	
business and professional service activities(NIC-08 Div. 69 to 74, 78, 80 to 82)	260	
educational activities (NIC-08 Div. 85)	261	
human health, social work and veterinary activities (NIC-08 Div. 75, 86-88)	262	
other personal service activities (NIC-08 Div. 96)	263	
other activities (NIC-08 Industries 37, 38, 39, 90, 91, 92, 93, 941, 949, 95)	264	

[3] principal operating expenses during last 30 days ended on ..... / last calendar month ended on ..... (Rs. in whole number)						
(1)		(2)	(3)			(4)
<b>manufacturing, non-captive power generation and transmission activities (NIC-08 Div. 10-33, 01632, 35103, 35105, 35106, 35107, 35109)</b>						
main raw materials consumed		item no.	3 digit product code			value (Rs.)
		301				
		302				
		303				
		304				
other raw materials consumed		305				
purchase value of the goods sold in the same condition as purchased		306				
expenses incurred for manufacturing services		307				
<b>total (items 301 to 307)</b>		<b>309</b>				

<b>trading activity (NIC-08 Div. 45-47)</b> (exclude transport charges and report them in block 4, if available separately )						
main commodities purchased		item no.	3 digit product code			value (Rs.)
		311				
		312				
		313				
		314				
other commodities purchased		315				
<b>total (items 311 to 315)</b>		<b>319</b>				

<b>transportation, travel agency and tour operator activities (NIC-08 Groups 492, Div.50, 79 excluding 49212, 49213)</b>						
main items consumed		item no.	3 digit product code			value (Rs.)
petrol, diesel and lubricants		321	5	4	1	
LPG, CNG		322	5	4	3	
rubber products: tyres , tubes		323	5	2	2	
batteries, accumulators, cells		324	8	2	3	
		325				
		326				
other items consumed		327				
travel agent's/ tour operator's payments for passenger fare/ goods transportation by	railways	328				
	land (bus, truck, auto, taxi, etc.)	331				
	air	332				
	water (boat, steamer, ferry, etc.)	333				
minor repair and maintenance of transport equipment		334				
insurance charges paid		335				
storage and warehousing charges paid		336				
parking fees paid for vehicles		337				
hotel & restaurant service charges (room rent, food, drinks, etc.)		338				
<b>total (items 321 to 338)</b>		<b>339</b>				

[3] principal operating expenses during last 30 days ended on ..... / last calendar month ended on ..... (Rs. in whole number)					
warehousing and support activities for transportation (NIC-08,Div. 52)					
(1)	(2)	(3)			(4)
main items consumed	item no.	3 digit product code			value (Rs.)
jute, cloth, etc. used as consumable stores	341	3	9	9	
pesticide, insecticide, weedicide, fungicide	342	6	1	2	
	343				
	344				
other items consumed	345				
insurance charges	346				
contract, sub-contract, commission expenses	347				
service charges paid to other concerns for carrying of goods	348				
<b>total (items 341 to 348)</b>	<b>349</b>				

postal and courier activities (NIC-08 Div. 53)					
main items consumed	item no.	3 digit product code			value (Rs.)
paper used in printer, fax etc.	351	5	1	3	
paper products: stationery articles and postal articles	352	5	1	2	
spares and accessories of electronic equipments ( ink cartridges used in printer, fax etc.)	353	8	3	4	
	354				
	355				
other items consumed	356				
insurance charges	357				
computer related services (software development, AMC, etc.)	358				
<b>total (items 351 to 358)</b>	<b>359</b>				

<b>[3] principal operating expenses during last 30 days ended on ..... / last calendar month ended on ..... (Rs. in whole number)</b>					
<b>accommodation and food service activities (NIC-08 Div. 55-56)</b>					
(1)	(2)	(3)			(4)
main items consumed	item no.	3 digit product code			value (Rs.)
wheat flour	361	2	3	2	
rice	362	2	3	1	
pulses milled	363	2	3	3	
egg and poultry	364	0	4	3	
processed poultry meat & poultry meat products	365	2	1	1	
processed other meat and products	366	2	1	2	
inland fish	367	0	6	1	
marine fish	368	0	6	2	
bread and bakery products - biscuit, cake, bread, rusk, pastries, etc.	371	2	4	2	
dairy products (milk, butter, ghee, ice cream, curd, paneer, etc.)	372	2	2	2	
edible oils and fats (excluding dairy products)	373	2	2	3	
sugar, sugar cube, gur	374	2	4	1	
alcoholic beverages	375	2	5	1	
non-alcoholic beverages: soft drink, fruit juice, aerated water	376	2	5	2	
potato and onion	377	0	2	7	
vegetables (excluding potato and onion)	378	0	2	8	
fruits	381	0	2	6	
	382				
	383				
	384				
other items consumed	385				
insurance charges	386				
computer related services (software development, AMC, etc.)	387				
<b>total (items 361 to 387)</b>	<b>389</b>				

<b>information and communication activities(NIC-08 Div. 58-63)</b>					
main items consumed	item no.	3 digit product code			value (Rs.)
paper and paper products (other than stationery)	391	5	1	3	
spares and accessories of electronic equipments ( ink cartridges used in printer, fax etc.)	392	8	3	4	
stationery articles of paper	393	5	1	2	
	394				
	395				
other items consumed	396				
communication charges (postage, courier, local call/ STD / ISD, cyber café, cellular, internet, email, etc.)	397				
insurance charges	398				
computer related services (software development, AMC, etc.)	401				
<b>total (items 391 to 401)</b>	<b>409</b>				

<b>[3] principal operating expenses during last 30 days ended on ..... / last calendar month ended on ..... (Rs. in whole number)</b>					
<b>financial activities(NIC-08 groups 643, 649, 6612, 6619, 6621, 663 including special codes 64193, 64309, 64921 and 64929)</b>					
(1)	(2)	(3)			(4)
main items consumed	item no.	3 digit product code			value (Rs.)
paper and paper products: (other than stationery)	411	5	1	3	
spares and accessories of electronic equipments ( ink cartridges used in printer, fax etc.)	412	8	3	4	
stationery articles of paper	413	5	1	2	
	414				
	415				
other items consumed	416				
interest payments	417				
banking, commission, brokerage (exclude interest payments to banks)	418				
legal services	421				
audit, advertising, accounting, book keeping, architecture, engineering, photocopying	422				
computer related services (software development, AMC, etc.)	423				
<b>total (items 411 to 423)</b>	<b>429</b>				

<b>real estate,rental and leasing activities(NIC-08 Div. 68, 77 excluding 774)</b>						
main items consumed		item no.	3 digit product code			value (Rs.)
		431				
		432				
	other items consumed	433				
minor repair and maintenance of	building and fixtures	434				
	tools and other fixed assets	435				
service charges for work done by other concerns	contract, sub-contract, commission expenses	436				
	legal services	437				
	hotel& restaurant service charges (room rent, food, drinks, etc.)	438				
	insurance charges	441				
<b>total (items 431 to 441)</b>		<b>449</b>				

<b>[3] principal operating expenses during last 30 days ended on ..... / last calendar month ended on ..... (Rs. in whole number)</b>						
<b>business and professional service activities(NIC-08 Div. 69 -74, 78, 80 - 82 )</b>						
(1)		(2)	(3)			(4)
main items consumed		item no.	3 digit product code			value (Rs.)
		451				
		452				
other items consumed		453				
hotel& restaurant service charges (room rent, food, drinks, etc.)		454				
service charges for work done by other concerns	contract, sub-contract, commission expenses	455				
	legal services	456				
	audit, advertising, accounting, book keeping, architecture, engineering, photocopying	457				
	training, education, coaching	458				
rental payable on produced fixed assets		461				
<b>total (items 451 to 461)</b>		<b>469</b>				

<b>educational activities(NIC-08 Div. 85)</b>						
main items consumed		item no.	3 digit product code			value (Rs.)
paper and paper products (other than stationery)		471	5	1	3	
books, journals atlases, maps, newspaper		472	5	1	4	
paper stationeries and consumables, postal articles		473	5	1	2	
spares and accessories of electronic equipments ( ink cartridges used in printer, fax etc.)		474	8	3	4	
readymade garments of cotton		475	3	2	1	
misc. textile products: uniforms, linen and laundry materials		476	3	9	9	
sports goods		477	8	4	2	
organic chemicals– e.g., used in laboratory		478	6	2	8	
inorganic chemicals– e.g., used in laboratory		481	6	2	7	
		482				
		483				
other items consumed		484				
hotel& restaurant service charges (room rent, food, drinks, etc.)		485				
<b>total (items 471 to 485)</b>		<b>489</b>				

[3] principal operating expenses during last 30 days ended on ..... / last calendar month ended on ..... (Rs. in whole number)

human health, social work and veterinary activities (NIC-08 Div. 75, 86-88)					
(1)	(2)	(3)			(4)
main items consumed	item no.	3 digit product code			value (Rs.)
drugs and medicines : allopathic	491	6	2	2	
drugs and medicines : AYUSH	492	6	2	3	
medical, precision and optical instruments - syringes, intra veins drip sets, plastering materials, etc.	493	8	3	2	
misc. textile products: uniforms, linen and laundry materials	494	3	9	9	
organic chemicals– e.g. used in laboratory, hospital, operation theatre, pathlabs, diagnostic tests	495	6	2	8	
inorganic chemicals– e.g. used in laboratory, hospital, operation theatre, pathlabs, diagnostic tests	496	6	2	7	
	497				
	498				
other items consumed	501				
hotel & restaurant service charges (room rent, food, drinks, etc.)	502				
<b>total (items 491 to 502)</b>	<b>509</b>				

other personal service activities (NIC-08 Div 96)					
main items consumed	item no.	3 digit product code			value (Rs.)
miscellaneous metal products (scissors, razors, etc.)	511	7	3	9	
soaps, detergents, glycerine	512	6	2	4	
cosmetics and toiletries	513	6	2	5	
miscellaneous manufacturing products (broom, brush duster, shoe brush, etc.)	514	8	4	9	
	515				
	516				
other items consumed	517				
<b>total (items 511 to 517)</b>	<b>519</b>				

<b>[4] other operating expenses during last 30 days ended on ..... / last calendar month ended on ..... (Rs. in whole number)</b> <b>(all other operating expenses not covered under block 3 will be recorded in this block)</b>			
(1)		(2)	(3)
items		item no.	value (Rs.)
electricity charges		521	
fuel and lubricant		522	
raw materials consumed for own construction	of building	523	
	of furniture and fixtures	524	
minor repair and maintenance (including plumbing, sanitary) of	building and fixtures	525	
	plant and machinery	526	
	transport equipment	527	
	information, computer and telecommunication equipment (ICT)	528	
	tools and other fixed assets	531	
rental payable on fixed assets (other than land)		532	
service charges for work done by other concerns	contract, sub-contract, commission expenses	533	
	storage and warehousing charges	534	
	legal services	535	
	audit, advertising, accounting, book keeping, architecture, engineering, photocopying	536	
	computer related services (software development, AMC, etc.)	537	
	Government administrative charges (PF administration, etc.)	538	
	training, education, coaching	541	
	medical services (doctor's fees, etc.)	542	
	rental expenses (e.g., hiring of auditorium, sports ground, etc.)	543	
	washing, cleaning, laundry, photography, etc.	544	
travelling, freight and cartage (transport) expenses by	radio and TV broadcasting	545	
	railways	546	
	land (bus, truck, car, taxi, auto, etc.)	547	
	air	548	
	water (boat, steamer, ferry through canal, river, sea, etc.)	551	
others (packaging, cargo handling, movers & packers, travel agency, etc.)	552		
communication expenses (telephone, fax, postal, courier, e-mail, etc.)		553	
financial charges	banking, commission, brokerage (exclude interest payments to banks)	554	
	insurance	555	
other expenses on goods inputs		556	
other expenses on services		557	
<b>total (items 521 to 557)</b>		<b>559</b>	

**Note 3: Apart from the industries mentioned in block 3, this block will also be applicable for NIC-08 Industries 37, 38, 39, 90, 91, 92, 93, 941, 949, 95**

**Note 4: Please take care that there is no duplication of entries in similar items in block 3.**



<b>[5] principal receipts during last 30 days ended on ..... / last calendar month ended on ..... (Rs. in whole number)</b>					
<b>manufacturing, non-captive power generation and transmission activities (NIC-08 Div. 10-33, 01632, 35103, 35105, 35106, 35107, 35109)</b>					
(1)	(2)	(3)			(4)
main products and by-products manufactured	item no.	3 digit product code			value (Rs.)
	561				
	562				
	563				
	564				
other products/ by-products	565				
sale value of the goods sold in the same condition as purchased(if entry is present in item 306)	566				
receipts from manufacturing services	567				
<b>sub-total(items 561 to 567)</b>	<b>569</b>				
opening stock of semi-finished goods	571				
closing stock of semi-finished goods	572				
change in stock of semi-finished goods (item 572 –item 571) (put (-) sign in case of negative value)	573				
<b>total (item 569 + item 573)</b>	<b>579</b>				

<b>trading activity (NIC-08 Div. 45-47)</b>					
main commodities sold	item no.	3 digit product code			value (Rs.)
	581				
	582				
	583				
	584				
other commodities sold	585				
<b>sub-total (items 581 to 585)</b>	<b>589</b>				
opening stock of trading goods	591				
closing stock of trading goods	592				
changes in stock of trading goods (item 592– item 591)(put (-) sign in case of negative value)	593				
own account consumption of goods purchased	594				
<b>total (item 589 +item 593+ item 594)</b>	<b>599</b>				

<b>[5] principal receipts during last 30 days ended on ..... / last calendar month ended on ..... (Rs. in whole number)</b>				
<b>transportation, travel agency and tour operating activities (NIC-08 Groups 492, Div. 50,79 excluding 49212, 49213)</b>				
(1)	(2)	(3)		(4)
main items	item no	3 digit product code		value (Rs.)
receipts from passenger traffic	land (bus, truck, taxi, auto, etc.)	601		
	water (boat, steamer, ferry, etc.)	602		
receipts from goods traffic	land (bus, truck, taxi, auto, etc.)	603		
	water (boat, steamer, ferry, etc.)	604		
receipts of travel agents/ tour operators from customers for their booking	605			
storage charges received	606			
service charges received for support activities for transportation (packaging, cargo handling, movers & packers)	607			
<b>total (items 601 to 607)</b>	<b>609</b>			

<b>warehousing and support activities for transportation (NIC-08 Div. 52)</b>				
main items	item no.	3 digit product code		value (Rs.)
storage charges received	611			
service charges received for support activities for transportation (packaging, cargo handling, movers & packers, travel agency, etc.)	612			
porter/coolie charges received	613			
<b>total (items 611 to 613)</b>	<b>619</b>			

<b>postal and courier activities (NIC-08 Div. 53)</b>				
main items	item no.	3 digit product code		value (Rs.)
charges received from customers for postal and courier activities	621			
<b>total (item 621)</b>	<b>629</b>			

<b>[5] principal receipts during last 30 days ended on ..... / last calendar month ended on ..... (Rs. in whole number)</b>						
<b>accommodation and food service activities (NIC-08 Div. 55-56)</b>						
(1)		(2)	(3)			(4)
main items		item no.	3 digit product code			value (Rs.)
receipts from sale of prepared food, refreshment and drinks		631				
receipts from sale of goods in same condition as purchased	bread and bakery products - biscuit, cake, bread, rusk, pastries, etc.	632	2	4	2	
	dairy products – milk, butter, ghee, ice cream, curd, paneer, etc.	633	2	2	2	
	alcoholic beverages	634	2	5	1	
	non-alcoholic beverages: soft drink, fruit juice, aerated water, bottled water, etc.	635	2	5	2	
		636				
		637				
	other items	638				
lodging charges, rent receivable for hiring out rooms and halls for functions, conferences		641				
receipts from transport / travel arrangements services		642				
receipts from laundry services, gym, spa, hair dressing, swimming pool, entertainment		643				
receipts from catering services		644				
own account consumption of goods purchased/ items prepared		645				
<b>total(items 631 to 645)</b>		<b>649</b>				

<b>information and communication activities (NIC-08 Div. 58-63)</b>						
main items		item no.	3 digit product code			value (Rs.)
charges receivable from customers (STD / ISD fax / internet etc.)		651				
receipts from publishing of books, brochures, atlases, maps , charts, mailing list, directories etc.		652				
receipts from software publishing		653				
receipts from production and post -production activities of video, motion picture, television programmes		654				
receipts from development of customised software, web-page designing, computer consultancy, installation, maintenance, etc.		655				
receipts from data entry, data processing, report writing activities		656				
<b>total(items 651 to 656)</b>		<b>659</b>				

[5] principal receipts during last 30 days ended on ..... / last calendar month ended on ..... (Rs. in whole number)				
financial activities(NIC-08 groups 643, 649, 6612, 6619, 6621, 663 including special codes 64193, 64309, 64921 and 64929)				
(1)	(2)	(3)		(4)
main items	item no.	3 digit product code		value (Rs.)
interest receipts (cash and kind)	661			
lease income	662			
brokerage and commission	663			
net profit in share dealing, income earned on chit funds, net earnings from hire purchase finance	664			
receipts from financial consultancy activities	665			
<b>total (items 661 to 665)</b>	<b>669</b>			
real estate, rental and leasing activities (NIC-08 Div. 68, 77 excluding 774)				
main items	item no.	3 digit product code		value (Rs.)
brokerage and commission charges	671			
rental/lease receipt from operational leasing	672			
receipt from renting and leasing of personal and household goods	673			
<b>total (item 671 to 673)</b>	<b>679</b>			

business and professional service activities(NIC-08 Div. 69 - 74, 78, 80 - 82)				
main items	item no.	3 digit product code		value (Rs.)
receipts from	consultancy services	681		
	legal services	682		
	audit, advertising, accounting, book keeping, architecture, engineering, photocopying	683		
	training, education, coaching	684		
	photography services	685		
	interior decoration, graphic designing, fashion designing	686		
<b>total (items 681 to 686)</b>	<b>689</b>			

[5] principal receipts during last 30 days ended on ..... / last calendar month ended on ..... (Rs. in whole number)						
educational activities(NIC-08 Div. 85)						
(1)		(2)	(3)			(4)
main items		item no.	3 digit productcode			value (Rs.)
receipts from sale of books, journals, dictionary, etc.		691	5	1	4	
		692				
		693				
		694				
receipts from other items sold		695				
receipts for development fund charges, development fee		696				
tuition fees, coaching fees		697				
transport fees, laboratory fees, examination fees, fines, library fee		698				
rental receipts (e.g., letting out of auditorium, sports ground, etc.)		701				
<b>total (items 691 to 701)</b>		<b>709</b>				

human health, social work and veterinary activities (NIC-08 Div. 75, 86-88)						
(1)		(2)	(3)			(4)
main items		item no	3 digit productcode			value (Rs.)
receipts from sale of	drugs and medicines : allopathic	711	6	2	2	
	drugs and medicines : AYUSH	712	6	2	3	
	prepared food, refreshment and drinks	713				
	non-alcoholic beverages	714	2	5	2	
		715				
other items sold		716				
doctor's consultation fees		717				
charges for operation theatre, and other special procedures		718				
charges for ward, cabin, pathological, radiological and other diagnostic tests, diet, nursing, physiotherapy and rehabilitation, etc.		721				
fees for training (nurses, paraprofessionals, etc.)		722				
<b>total (items 711 to 722)</b>		<b>729</b>				

other personal service activities(NIC-08 Div. 96)						
main items		item no.	3 digit productcode			value (Rs.)
receipts from sale of goods in same condition as purchased	cosmetics, toiletries	731	6	2	5	
	soaps, detergents and glycerine	732	6	2	4	
	misc. food products (dog food, cat food, etc.)	733	2	4	3	
		734				
		735				
	other items sold	736				
service charges from personal service activities		737				
<b>total (items 731 to 737)</b>		<b>739</b>				

<b>[6] other receipts during last 30 days ended on ..... / last calendar month ended on ..... (Rs. in whole number)</b> <b>(all other receipts of the enterprise not covered under block 5 will be recorded in this block.)</b>			
(1)		(2)	(3)
other receipts		item no.	value (Rs.)
receipts from services provided to others including commission charges( <b>other than manufacturing services</b> )		741	
receipts from computer and related services		742	
change-in-stock of semi-finished goods (applicable for servicing enterprises engaged in software generation, motion picture production, etc.)		743	
receipts from services to international and extra- territorial bodies		744	
other receipts(describe)		745	
value of own construction (including labour charges) of	building	746	
	furniture and fixtures	747	
rental receivable on fixed assets (other than land)		748	
grants/ funding/ donations (excluding capital transfers like building grant, etc.) from	individual and non-govt. institutions	751	
	Government	752	
<b>total (item 741 to 752)</b>		<b>759</b>	
<b>production subsidy/ interest subsidy (if any)</b>		<b>761</b>	
capital transfers like building grants/ funding/ donations from	individual and non-govt. institutions	762	
	Government	763	
<b>total (item 762 + item 763)</b>		<b>769</b>	

**Note 5: Apart from the industries mentioned in block 5, this block will also be applicable for NIC-08 Industries 37, 38, 39,90, 91, 92, 93, 941, 949, 95.**

**Note 6: Please take care that there is no duplication of entries in similar items in block 5.**

<b>[7] calculation of gross value added during last 30 days ended on ..... / last calendar month ended on ..... (Rs. in whole number) (excluding NPISH and other enterprises engaged only in non-market production)</b>		
items	item	value (Rs.)
(1)	(2)	(3)
total receipt: (items 579+599+609+619+629+649+659+669+679+689+709+729+739+759-751-752)	771	
total operating expenses: (items 309+319+339+349+359+389+409+429+449+469+489+509+519+559)	772	
distributive expenses, if any <sup>@</sup>	773	
toll	774	
<b>taxes on products</b> (such as VAT, service tax, excise duty, sales tax, octroi, etc.) <sup>@</sup>	775	
<b>gross value added (item 771 – item 772 – item 773- item 774- item 775) (put (-) sign in case of negative value)</b>	<b>779</b>	
<b>taxes on production (stamp duty, recurrent taxes on land, buildings or other structures, business or professional licence fees, permits, entry tax, road tax, 781 registration fee of vehicles, PUC certificate fees, etc. )</b>		

<sup>@</sup> if included in the receipt figures.

<b>[8] employment particulars of the enterprise during last 30 days ended on ..... / last calendar month ended on .....</b>												
type of worker	item no.	average number of workers										
		full time			part time			total (col. 3 to 8)	skilled			
		female	male	trans-gender	female	male	trans-gender		female	male	trans-gender	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	
working owner	801											
formal hired worker	802											
informal hired worker	803											
helper/ apprentice	804											
other worker	805											
<b>total (items 801 to 805)</b>	<b>809</b>											
<b>active members of SHGs</b>	<b>811</b>											

<b>[9] compensation to workers during last 30 days ended on ..... / last calendar month ended on .....</b>			
type of emoluments	item no.		value (Rs.)
(1)	(2)		(3)
salary / wages, house rent allowances, transport allowance, bonus and other individual benefits <b>directly payable to the worker</b> (cash & kind)	working owner	901	
	formal hired worker	902	
	informal hired worker	903	
	<b>sub-total (items 901 to 903)</b>	<b>909</b>	
contribution to insurance, provident fund and other social security schemes (average for a month)	working owner	911	
	formal hired worker	912	
	<b>sub-total (items 911 to 912)</b>	<b>919</b>	
employer's contribution to canteen, health clinic, child care centre, etc. (average for a month)	working owner	921	
	formal hired worker	922	
	informal hired worker	923	
	other worker / helper	924	
	<b>sub-total (items 921 to 924)</b>	<b>929</b>	
<b>total emoluments (item 909 + item 919 + item 929)</b>		<b>939</b>	

<b>[10] land and fixed assets owned and hired as on the last date of reference year and monthly rent/rental payable on hired assets (Rs. in whole number)</b>					
type of asset	item no.	market value of assets (Rs.) as on the last date of reference year		*net additions to owned assets during last 365 days / last year (Rs.)	monthly rent/ rental payable on hired assets (Rs.)
		owned	hired		
(1)	(2)	(3)	(4)	(5)	(6)
land	1001				
building	1002				
plant and machinery	1003				
transport equipment	1004				
tools and other fixed assets	1005				
software and database	1006				
information, computer and telecommunications equipment	1007				
capital work in progress	1008				
<b>total (items 1002 to 1008)</b>	<b>1009</b>				

\* net addition can either be positive or negative.



<b>[10.1] original value of plant and machinery/ equipment</b>		
items	item no.	code
(1)	(2)	(3)
if item 202 belongs to NIC-08 Div. 10-33, 01632, 35103, 35105, 35106, 35107, 35109 <b>original value of plant and machinery (code)</b>	1011	
if item 202 does not belong to NIC-08 Div. 10-33, 01632, 35103, 35105, 35106, 35107, 35109 <b>original value of equipments (code)</b>	1012	

<b>codes for block 10.1</b>			
<b>item 1011 :investment (original value) in plant and machinery (I)</b>			
investment (I) ≤ Rs.25 lakh	1	Rs. 5 crore < I ≤ Rs. 10 crore	3
Rs.25 lakh < I ≤ Rs. 5 crore	2	I >Rs. 10 crore	4
<b>item 1012: investment (original value) in equipments (I)</b>			
investment (I) ≤ Rs.10 lakh	1	Rs. 2 crore < I ≤ Rs. 5 crore	3
Rs.10 lakh < I ≤ Rs. 2 crore	2	I >Rs. 5 crore	4

<b>[11] loan outstanding as on last date of the reference year (Rs. in whole number)</b>			
source of loan	item no.	amount outstanding as on last date of the reference year (Rs.)	interest payable during the last 30 days / last calendar month (Rs.)
(1)	(2)	(3)	(4)
central and state level term lending institutions	1101		
government (central, state, local bodies)	1102		
commercial banks	1103		
co-operative banks and societies	1104		
micro-finance institutions	1105		
other institutional agencies	1106		
money lenders	1107		
business partner(s)	1108		
suppliers / contractors	1111		
friends and relatives	1112		
others	1113		
<b>total (1101 to 1113)</b>	<b>1119</b>		

<b>[11.1] the amount of loan advanced by financial enterprises (excluding stock broker/ sub-broker) (Rs. in whole number)</b>			
items		item no.	amount (Rs.)
(1)		(2)	(3)
if 'yes' in item 258, <b>the amount of loan advanced by the enterprise as on date to</b>	households	1121	
	others	1122	
<b>total (item 1121 + item 1122 )</b>		1129	

<b>[12] factor incomes of the enterprise during last 30 days ended on ..... / last calendar month ended on ..... (Rs. in whole number)(only for non-financial enterprises)</b>		
type of factor income	item no.	value (Rs.)
(1)	(2)	(3)
emoluments (item 939, col.3 of block 9)	1201	
rent payable (item 1001, col.6 of block 10)	1202	
interest payable (item 1119, col. 4 of block 11)	1203	
net surplus	1204	
<b>total (items 1201 to 1204 )</b>	<b>1209</b>	

<b>[13] inventories during the reference year (Rs. in whole number) (to be canvassed for enterprises providing data from books of accounts)</b>			
items	item no.	opening (Rs.)	closing (Rs.)
(1)	(2)	(3)	(4)
raw materials & components and packing materials	1301		
fuels & lubricants	1302		
spares, stores & others	1303		
<b>sub-total (item 1301 to 1303)</b>	<b>1304</b>		
semi-finished goods/ work in progress	1305		
finished goods	1306		
goods for re-sale	1307		
<b>total (items 1304 to 1307)</b>	<b>1309</b>		

<b>[14] particulars of use of information and communication technology (ICT) by the enterprise during last 365 days ended on ..... / last year(to be canvassed for enterprises with 10 or more workers ) (if entry in item 218 or item 219 is '1')</b>		
main items	item no.	(yes-1, no-2)
(1)	(2)	(3)
does the enterprise have a web presence as on the date of survey?	1401	
does the enterprise have an intranet as on the date of survey?	1402	
did the enterprise receive orders for goods or services (that is, make sales) via the Internet during <reference period>?	1403	
did the enterprise place orders for goods or services (that is, make purchases) via the Internet during <reference period>?	1404	
how did the enterprise connect to the Internet during <reference period>?		
narrowband	1405	
fixed broadband	1406	
mobile broadband	1407	
does the enterprise have a local area network (LAN) as on the date of survey?	1408	
does the enterprise have an extranet as on the date of survey?	1409	

**[14] particulars of use of information and communication technology (ICT) by the enterprise during last 365 days ended on ..... / last year(to be canvassed for enterprises with 10 or more workers ) (if entry in item 218 or item 219 is '1')**

main items (1)	item no. (2)	(yes-1, no-2) (3)
for which of the following activities did the enterprise use the Internet during <reference period>?		
sending and receiving e-mail	1411	
telephoning over the Internet/VoIP, including video conferencing	1412	
getting information about goods and services	1413	
getting information from general government organizations	1414	
interacting with general government organizations	1415	
internet banking	1416	
accessing other financial services	1417	
providing customer services	1418	
delivering products online	1419	
internal or external recruitment	1421	
staff training	1422	
		number
average number of persons employed who routinely used computers during <reference period>.	1423	
average number of persons employed who routinely used the Internet at work during <reference period>.	1424	

item no.	explanatory notes
1401	A web presence includes a website, home page or presence on another entity's website (including a related business). It excludes inclusion in an on-line directory of any other webpages where the business does not have control over the content of the page.
1402	An intranet refers to an internal communications network using Internet protocols and allowing communication within an organization (and with other authorized persons). It is typically set up behind a firewall to control access.
1403	Orders received include orders received via the Internet whether or not payment was made online. They include orders received via websites, specialized Internet marketplaces, extranets, EDI over the Internet, Internet-enabled mobile phones and email. They also include orders received on behalf of other organizations – and orders received by other organizations on behalf of the business. They exclude orders that were cancelled or not completed.
1404	Orders placed include orders placed via the Internet whether or not payment was made online. They include orders placed via websites, specialized Internet marketplaces, extranets, EDI over the Internet, Internet-enabled mobile phones and email. They exclude orders that were cancelled or not completed.
1405	Narrowband includes analogue modem (dial-up via standard phone line), Integrated Services Digital Network (ISDN), Digital Subscriber Line (DSL) at speeds below 256 kbit/s, and mobile phone and other forms of access with an advertised download speed of less than 256 kbit/s. Narrowband mobile phone access services include CDMA 1x (Release 0), GPRS, WAP and imode
1406	Fixed broadband refers to technologies such as DSL, at speeds of at least 256 kbit/s, cable modem, high speed leased lines, fibre-to-the-home, powerline, satellite, fixed wireless, Wireless Local Area Network (WLAN) and WiMAX.
1407	Mobile broadband access services include Wideband CDMA (W-CDMA), known as Universal Mobile Telecommunications System (UMTS) in Europe; High-speed Downlink Packet Access (HSDPA), complemented by High-Speed Uplink Packet Access (HSUPA); CDMA2000 1xEV-DO

item no.	explanatory notes
	and DCMA 2000 1xEV-DV. Access can be via any device (mobile cellular phone, laptop, PDA, etc.
1408	A LAN refers to a network connecting computers within a localized area such as a single building, department or site; it may be wireless.
1409	An extranet is a closed network that uses Internet protocols to share securely a business' information with suppliers, vendors, customers or other business partners. It can take the form of a secure extension of an intranet that allows external users to access some parts of it. It can also be a private part of the business' website, where business partners can navigate after authentication.
1412	VoIP refers to Voice over Internet Protocol
1415	Includes downloading/requesting forms online, making online payments and purchasing from, or selling to, government organizations. It excludes getting information from government organizations.
1416	Includes electronic transactions with a bank for payment, transfers, etc. or for looking up account information.
1417	Includes electronic transactions via the Internet for other types of financial services such as purchasing shares (stocks), financial services and insurance.
1418	Includes providing online or emailed product catalogues or price lists, productspecification or configuration online, after-sales support, and order tracking online.
1419	Refers to products delivered over the Internet in digitized form, e.g. reports, software, music, videos, computer games; and online services, such as computer-related services, information services, travel bookings or financial services.
1421	Including providing information about vacancies on an intranet or website, and allowing online applications
1422	Includes e-learning applications available on an intranet or from the World Wide Web.

[15] particulars of field operations													
srl. no.	items	Field Investigator (FI) / Junior Statistical Officer (JSO)						Field Officer (FO)/ Senior Statistical Officer (SSO)					
(1)	(2)	(3)						(4)					
1501	(i) name (block letters)												
	(ii) code												
	date(s) of	D	D	M	M	Y	Y	D	D	M	M	Y	Y
1502	(i) survey / inspection												
1503	(ii) receipt												
1504	(iii) scrutiny												
1505	(iv) despatch												
1506	total time taken to canvass Sch. 2.34 (minutes)												
1507	number of investigators (FI/JSO) in the team												
1508	whether schedule contains remarks (yes-1, no-2)	in block 16			elsewhere in the schedule			in block 17			elsewhere in the schedule		
1509	signature												

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**[16] remarks by Field Investigator (FI) / Junior Statistical Officer (JSO)**

**[17] comments by supervisory officer(s)**